NEW YORK NAVAL MILITIA INSTRUCTION 1001.1B

From: Commander, New York Naval Militia

Subj: NEW YORK NAVAL MILITIA ADMINISTRATION MANUAL

Ref: (a) DMNA Regulation 10-1: New York Naval Militia Regulations
    (b) DMNA Regulation 25-1; Administrative Support Program
    (c) DMNA Regulation 37-2; State Purchasing Guidelines
    (d) DMNA Regulation 55-1; Use of DMNA TAG Form 9 and Triborough Bridge and Tunnel Authority Tickets
    (e) DMNA Regulation 56-1; Fleet Vehicle Operation
    (f) DMNA Regulation 600-8-4; State Active Duty Line of Duty Procedures
    (g) DMNA Regulation 672-1; Decorations, Awards, and Honors State Military Awards
    (h) DMNA Regulation 700-1; State Property Management Program Internal Control - Equipment Control and Procedures
    (i) DMNA Regulation 1-1; Administrative Procedures - Correspondence
    (j) DMNA Pamphlet 25-1-4; Information Services
    (k) DMNA Pamphlet 360-61; Community Outreach and Community Events
    (l) NYS Military Law
    (m) SECNAVINST 5216.5 (series); Department of the Navy Correspondence Manual
    (n) SECNAV M-5210.2 (series); Standard Subject Identification Code (SSIC) Manual
    (o) OPNAVINST 5215.17 (series); Navy Directives Issuance System
    (p) NYNMINST 1410.1 (series); Promotion Policy and Procedures
    (q) Personal Privacy Protection Law (PPPL) of New York State
    (r) 5 U.S. Code, sections 552-522a; Privacy Act of 1974
    (s) NYNMINST 6110.1 (series) Physical and Medical Requirements

Encl: (1) New York Naval Militia Forms

1. Purpose. To promulgate administrative guidance for the New York Naval Militia (NYNM) in accordance with references (a) through (o).
2. Cancellation. This instruction supersedes NYNINST 1001.1A of 17 February 2012. The following NYNM FORMS are also cancelled by this instruction:

   a. NYNMF O 103 (Accession Assignment Orders, of 02/11)

   b. NYNMF O 104 (Discharge Orders, of 02/11)

   c. NYNMF O 1571 (Inactive Duty Training Authorization, of 08/13)

   d. NYNMF O 7043 (Procurement Request, of 02/10)

3. Policy and Direction. New York Naval Militia will follow the guidance in the following areas:

   a. Privacy Act Requirements. References (p) and (g) are intended to protect the rights of individuals from unwarranted collection, maintenance, use or disclosure of information. Providing privacy advice is mandated by both state and federal laws when personal information is collected. A Privacy Advisory form that addresses both the federal and the state privacy laws will be printed out, signed and submitted by each NYNM member who submits a NYNM form that contains personal information. The enclosed forms have privacy act statements included.

   b. Restrictions on activities of members

      (1) Members may not wear the uniform of the New York Naval Militia or otherwise represent themselves as a member of the New York Naval Militia for any gathering, function, or event that is for the benefit of an individual political candidate or a political party; a private corporation; or a religious institution.

      (2) Members may participate in uniform in ceremonial events at or sponsored by religious institutions, political parties, or private corporations as long as such representation does not endorse, or appear to endorse such entities. Examples of acceptable events include funerals, veterans' appreciation ceremonies, military balls, and relief society events. "Participation in ceremonial events requires prior notification and approval of DMNA, primarily to ensure that individuals are covered under the provisions of applicable state and/or federal guidelines and as a method of tracking the agencies participation in community events." (Ref (k) refers) Members
must contact Naval Militia headquarters at least 48 hours prior
to participation in a community event for DMNA approval.

(3) At no time shall the uniform of the New York
Naval Militia be worn by any person unless acting in an official
capacity of the organization. Official status is identified by
the member being on State Active Duty (SAD) orders.

(4) New York Naval Militia equipment and personnel
assets are not to be used for the benefit of a private
individual or corporation, unless authorized by The Adjutant
General or his/her representative.

c. Physical and Medical Requirements are found in
reference (s).

d. Requirement to Report Change in Status. Members of
the New York Naval Militia are required to keep their region and
NYNM Headquarters informed of any change of status that may
affect their ability to perform any assigned duty. The following
areas of concern must be reported, with supporting documentation
if required, as soon as possible to Naval Militia headquarters
and/or unit point of contact, or regional commander:

(1) Discharge, separation, retirement from a federal
reserve component.

(2) Change to home address, phone numbers, and email
address.

(3) Change in physical or medical status.

(4) Change in rank, rate, or pay grade.

(5) Change to drilling Reserve unit and/or location.

(6) Change to dependency status or number.

e. Recruiting. Members who are responsible for referring
new accessions into the New York Naval Militia are eligible for
the New York State Recruiting Medal in accordance with reference
(g). The referring individual’s name is to be included on the
recruit’s application for enlistment, or nomination for
commission form.
f. Accession. Accession is the act of initial enlistment or commissioning. Accession into the New York Naval Militia is guided by the following principles.

(1) End Strength. The end strength of the New York Naval Militia is established by The Adjutant General. By law, 95% of the force must be members of the Reserve forces of the United States Navy, Marine Corps, or Coast Guard, and they constitute the Federal Component List (FCL). No more than five percent may be members on the State Active List (SAL). Personnel assigned to the In-active List (IAL) do not count against end-strength.

(a) The Federal Component List (FCL) includes those NYNM members who are also members of the Reserve forces of the United States Navy, Marine Corps, or Coast Guard. These include any members that are under some type of obligation to a federal component.

(b) The State Active List (SAL) includes those non-retired veterans and all non-prior military personnel.

(c) Officers of the Naval Militia may not be forcibly separated prior to their 68th birthday without the Governor's approval. However, they may be placed on the In-active List (IAL) until such time that they are required to be placed on the State Retired List. Personnel who do not meet participation requirements, but have also not requested retirement shall be placed on the IAL. IAL personnel shall not be considered part of the FCL or SAL.

(2) Basic eligibility. Basic eligibility criteria for membership in the New York Naval Militia include:

(a) Age: 18 - 67 years.

(b) Citizenship requirements

1. Enlisted: United States citizen, or applicants who have made a declaration of intent to become citizen of the United States.

2. Commissioned officers and warrant officers: United States citizen.

(c) Residency: All newly accessed members of the New York Naval Militia must be legal residents of New York
State, or be a drilling Reservist whose primary drilling location is located within New York State. Any member who subsequently changes both legal residence and drilling location to a location outside of New York State is no longer a member of the New York Naval Militia. Any member residing out of state, who subsequently changes their drilling location outside of New York State, is no longer a member of the New York Naval Militia.

(d) Education requirements

1. Enlisted and warrant officer: High school graduate or General Educational Development (GED) diploma.

2. Commissioned officers: Bachelors degree or be, or have been, a commissioned officer in either the United States Navy, Marine Corps, or Coast Guard (not including USCG Auxiliary), or their respective Reserve.

(3) Enlisted persons complete NYNM FORM 100, Application for Enlistment.

(4) Officer applicants complete NYNM FORM 101, Nomination for Commission.

(5) Required documentation to affiliate with the New York Naval Militia includes the application form, and a copy of the applicant’s federal Leave and Earning Statement (LES), DD-214, or any DD-215 issued.

(6) Personnel submitting applications to affiliate with the New York Naval Militia who are not members of the Federal Reserve Components will be reviewed by a Committee of Accession. The Committee of Accession will be appointed by the Commander, New York Naval Militia and will consist of at least three members. The committee will determine if there is a need for the individual’s knowledge, skills and abilities in the New York Naval Militia. If the Committee feels there is a need for the individual then they will determine if the member is eligible to affiliate with the New York Naval Militia and if so, assign a component, rating or community, and accession paygrade. In general, personnel will not be affiliated with the Marine Corps Component unless they are prior service U.S. Marines. Personnel will not be affiliated with the Coast Guard Component unless they have prior U.S. Coast Guard service.
b. Establishment of rank/rate/designator/MOS. Upon accession into the New York Naval Militia, a new member is assigned rank, and or rate based on the last or most current rank held in the federal component. For members with no prior service, a Committee of Accession shall determine and assign the appropriate rank and/or rate within the Navy Component.

(1) Establishment of state pay entry base date (PEBD). Typically, the state PEBD is based on a member’s federal PEBD. Any periods of broken service between federal and state service will cause the state PEBD to be adjusted. Only those periods while under federal and/or state service count towards the state PEBD.

(2) Establishment of state entry base date (SEBD). This is the actual date of accession into the New York Naval Militia, or other state organized militia force. Any periods of broken state service will cause the SEBD to be adjusted. The SEBD is not used for calculation of pay or benefits. It is used for calculation for Long and Faithful Awards.

(3) Billet Assignment. Personnel will be assigned to specific billet assignments based on their qualifications, paygrade, and their ability to perform the subject duties.

(a) Certain billet assignments are based on the residency/location of a member.

(b) The NYNM Manpower Plan describes the force billet structure.

(c) Headquarters shall issue orders to individual members assigning them to a specific billet.

(d) Members not assigned to specific billets will serve in a general duty billet. General duty billeted members report to their respective Regional Commander.

d. Participation. In accordance with Section 50 of reference (1), members of the federal Ready Reserve meet all NYNM participation requirements if they are a satisfactory driller in the federal component.

(1) This requires that the member be current with all medical, legal, administrative, and drill requirements as set forth by the member’s Ready Reserve component.
(2) If contact with a member is lost, a completed copy of NYNM FORM 1040, Notice of Administrative Action is to be sent to the member’s last known address. The member may have up to 90 days to resolve any issue addressed on Form 1040. Otherwise the member is to be discharged (or moved to the Inactive List in the case of officers) from the New York Naval Militia.

e. Initial training. The New York Naval Militia does not hold any type of accession training. All veterans have gone through recruit or officer accession training, which suffices for NYNM purposes.

f. NYNM Identification Cards (DMNA FORM 55-N). Headquarters, NYNM has the capability to issue Naval Militia Identification Cards. New York Naval Militia Identification Cards are authorized for non-Ready Reserve members of the force. In other words they are available for any member that does not hold a federal Common Access Card (CAC).

g. Performance. NYNM members are to receive a periodic appraisal which reflects their fitness for the service and performance of their duties.

(1) For members of the Ready Reserve, the federal components provide this through the fitness report evaluation system.

(2) The person responsible for generating appraisals on non-Ready Reserve members is one of the following:

(a) Commander or Deputy Commander, NYNM

(b) Chief of Staff

(c) Commander of a NYNM region

(3) Officer Evaluation Reports are submitted using the applicable form from the parent federal component:

(a) Navy: NAVPERS 1610/2

(b) Marine Corps: NAVMC 10835A-E

(c) Coast Guard: CG-5310 - OER
(4) Enlisted Evaluation Reports are submitted using the applicable form from the parent federal component:

(a) Navy: NAVPERS 1616/26 or NAVPERS 1616/27

(b) Marine Corps: NAVMC 10835A-E

(c) Coast Guard: CG-3788 - EERS

(5) Relief for Cause. In the event that the Commander, New York Naval Militia has determined that a member filling a NYNM billet has failed to properly carry out his/her duties, or otherwise caused the Commander to lose faith in the ability of the member to carry out assigned duties, that member may be relieved of duties and re-assigned out of the billet.

h. Counseling of Personnel. Personnel that perform sub-par should be counseled in an attempt to resolve issues that prevent the individual from reaching their maximum potential. Counseling should be documented and a record of counseling should be placed in the member’s service jacket at headquarters. Counseling not only informs an individual of performance or other issues, but also offers possible courses of resolution. Use NYNMFORM 1620 to document counseling.

i. Promotion and Advancement

(1) Officers and enlisted personnel of the Federal Component List (FCL), who are also members of the federal Ready Reserve, are eligible for coincident promotion in the New York Naval Militia if they are promoted or advanced in their respective federal component.

(2) Personnel promoted in the federal component may decline coincident promotion in the New York Naval Militia.

(3) Officers and enlisted personnel of the State Active List (SAL), or of the Federal Component List (FCL), who are not members of a federal Ready Reserve, are eligible for promotion within the New York Naval Militia only. Reference (p) provides detailed procedures regarding NYNM promotions.

(4) Promotion in the New York Naval Militia does not affect a member’s federal retirement status or reserve point accrual.
j. Discharge, Retirement, Separation. Discharge and separation are the act of leaving the New York Naval Militia without transfer to either the State Reserve List or State Retired List. Officers or enlisted members who are under federal contract and subsequently leave federal service are automatically separated from the New York Naval Militia. Persons are eligible to re-affiliate with the NYNM after discharge or retirement from the Ready Reserve. Personnel requesting discharge, or retirement, may submit NYNM FORM 1900 requesting separation.

(1) Transfer to State Reserve List. Persons are eligible for transfer to the State Reserve List, upon member’s request, in accordance with reference (l). The State Reserve List is for eligible members who are not eligible for the State Retired List, but who otherwise feel they cannot participate as an active member, due to incapacitation or other reason.

(2) Transfer to State Retired List. Officers that reach the age of 68 years are transferred to the State Retired List, in accordance with reference (l). They are no longer eligible for SAD or other entitlements.

k. Correspondence. Official New York Naval Militia correspondence is done in accordance with the standards of the Department of the Navy.

(1) Correspondence Standards. The guidance found in references (m) and (o) shall be used for drafting NYNM correspondence. Correspondence for signature by Division of Military and Naval Affairs shall be in accordance with reference (i).

(2) Use of Standard Subject Identification Codes (SSIC). SSIC are established by reference (m), and form the basis of correspondence coding for all NYNM instructions, forms, notices, and letters.

(3) Letterhead. Official command-level NYNM correspondence is placed on New York Naval Militia letterhead, which appears here:
(a) The Commander and Deputy Commanders may establish their own official letterhead.

(b) Regional and operational subordinate commands may use their own version of the above letterhead, modified with the command name below the New York Naval Militia title, and with a local address.

(c) Letterhead is in dark or navy blue, with Arial Narrow and Niagara Engraved font. The New York Naval Militia blue and white logo is placed in the left margin of the letterhead. Electronic versions of the logo may be obtained from Headquarters, New York Naval Militia.

(4) Authorized signatures. The only persons authorized to sign command-level letterhead correspondence are the Commander and Deputy Commander, New York Naval Militia, or persons granted "By direction" authority by the Commander. Regional and operational commanders are authorized to sign correspondence originating from their commands, and to grant "By direction" authority within their commands.

1. Procurement and fiscal. Reference (c) provides guidance on procurement and fiscal issues.

(1) New York State Division of Military and Naval Affairs (DMNA) uses New York State credit cards to pay for most services. Dedicated credit cards for each boat or vehicle are to be used only for the benefit of the individual piece of equipment, unless prior DMNA Budget and Finance Directorate (MNBF) approval is granted. Personal services cannot be paid for using the boat or truck credit cards.

(2) MNBF issues periodic bulletins that may affect fiscal policy and purchasing procedures. Adherence to these bulletins is mandatory.

m. Training administration. Training is an important part of force readiness to perform assigned missions. Personnel who are responsible for completing training and qualifying personnel in various mission areas are to forward records of completion to Headquarters, NYNM, in order that the information is entered in the NYNM database and member’s service jacket.

n. Muster Reports and Recall
(1) Regional Commanders are to maintain an accurate muster and recall list of all NYNM members within their region. Regional Commanders are to maintain regular liaison with Reserve Unit Liaison Officers within their assigned region. They are to ensure that updated personnel information for all assigned personnel is forwarded to Headquarters, NYNM.

(2) Unit/Reserve Activity Liaison Officer Responsibilities. Unit (or reserve activity) Liaisons Officers are responsible for the accounting of all NYNM members in their Reserve unit/activity, and updating their respective Regional Commander and Headquarters NYNM on personnel status and changes. Unit Liaisons Officers are responsible to ensure that NYNM members are accounted for as satisfactory or unsatisfactory federal drilling Reservists. They are responsible for the accounting and issuing of all DMNA TAG 9 and Triborough Bridge and Tunnel Passes given to the unit or activity, in accordance with reference (d). Reports are made using NYNM FORM 102.

(3) Individual Member Responsibilities. Individual members are responsible to their chain of command, including unit liaison officer and/or Regional Commander, regarding any changes in drilling status, to include mobilization, change of unit, retirement, discharge, legal or disciplinary status, or medical/physical changes.

o. Civilian Military Skills. Most NYNM members have acquired skills in their civilian capacity, as well as those skills learned during military service. These various skills may serve the New York Naval Militia and the State of New York in many ways. It is extremely important that Headquarters, NYNM maintain an accurate record of the skills held by its members. The Civilian-Military Skills Form (NYNMFORM 1070) in enclosure (1), is also found electronically on the NYNM website. By completing and submitting the form electronically, the NYNM database will be readily updated. The Civilian-Military Skills Questionnaire is to be completed and submitted by all members upon accession and whenever changes occur.

p. Awards

(1) New York Naval Militia members are eligible for New York State awards, in accordance with reference (g). Members in the chain of command may initiate state awards processing at any level. The final approval for an award rests with The Adjutant General or his/her representative.
(2) New York Naval Militia members may be eligible for federal awards. Rules governing eligibility for specific federal awards are established by the federal component. Federal awards must be initiated by a federal component or agency. The New York Naval Militia cannot initiate federal awards.

q. Facility administration. New York Naval Militia typically operates as a tenant of other agency facilities. Regardless of the host, it is important that NYNM personnel using other agency facilities will do the utmost to maintain a positive working relationship with the host.

r. Travel

(1) Out of State Travel Requirement. Travel to destinations outside of New York State for official purposes must first have approval of the Office of The Adjutant General (OTAG).

(2) Use of government vehicles. Use of government (NYNM) vehicles is guided by reference (e). NYNM vehicles are equipped with E-Z PASS tags.

(3) Free passage on the NYS Thruway and NYS Bridges and Tunnels will be granted to members provided that the travel is authorized and complies with the requirements of references (d) and (e). DMNA TAG Form 9 passes (Thruway Passes) and Triborough Bridge and Tunnel Authority (TBTA) tickets are provided for use by members of the organized militia in the performance of official military duties. Requests for Thruway Toll Passes and Tunnel and Bridge passes (TBTA) tickets will be made via Regional or Operational Commanders, or Unit Liaison Officers to NYNM Headquarters.

(4) Any individual responsible for misuse of the passes/tickets or E-Z PASS will be required to make full compensation for the tolls involved, and may be subject to disciplinary action.

(5) State of New York credit, travel, or fuel cards are for official use only. These credit cards will not be used for the purchase of any item or service that is not directly for the mission, vessel, or vehicle for which it was intended.

(6) Procedures for use of NYNM vehicles
(a) NYNM vehicles are for official use only. If the vehicle is assigned to an expeditionary mission, where it is the only means of transportation, the vehicle may be used for transportation to dining facilities and other necessary travel.

(b) Each trip must be recorded in the individual vehicle's Fuel and Maintenance Record.

(c) Vehicle keys and fuel cards are maintained with the Fuel and Maintenance Record.

(d) Government vehicles will not be operated while the driver is under the influence of alcohol or drugs.

s. Line of Duty. Members injured or killed while serving on SAD are subject to a Line of Duty investigation and benefits upon completion of a thorough investigation. Reference (f) refers.

t. Public Affairs. DMNA maintains a public affairs directorate, MNPA. In coordination with MNPA, the New York Naval Militia will maintain a pro-active and positive public affairs stance. It is incumbent upon Naval Militia membership to promote NYNM and DMNA activities across the state.

(1) Interactions with local and regional media outlets are to be coordinated with MNPA. Interviews with and stories related to the NYNM to be placed with the media, must receive prior approval by MNPA.

(2) Members are encouraged to submit high quality stories and action photographs in an electronic format to NYNM HQ for submission in the DMNA Guard Times magazine.

(3) The New York Naval Militia interacts with various federal, state, and local agencies on a regular basis, and for a variety of reasons. It is always incumbent on NYNM members to present and represent the organization professionally, factually, and with integrity. Distributing approved informational brochures, flyers, etc., which demonstrate organizational capabilities and further present the NYNM in a positive light is encouraged.

u. NYNM Historical Records. NYNM Headquarters, all regional commands, and all operational commands shall compile at the completion of each calendar year an annual historical record of events and activities. The annual record shall include, as a
minimum, a listing of personnel filling all senior billets, a
record of significant events, Josephthal Trophy and other award
winners, force census, equipment status, and fiscal/budgetary
status. This historical record should be seen as a typical
command chronology.

v. Service Record Maintenance. New York Naval Militia
headquarters shall maintain service jackets on all active
members. Information contained in service records includes
information on a member’s civilian background, federal military
background, accession, promotion, performance, training and
qualifications, health and medical, awards, and SAD orders.
Information contained in each member’s service jacket is
governed by both State and Federal Privacy Act laws. References
(j), (q), and (r) refer.

(1) The Health Insurance Portability and
Accountability Act (HIPAA) places certain restrictions on
release of personal health and medical information. The
personal health and medical information maintained by NYNM
Headquarters will be held in a secure area, with access limited
to those persons who are trained in HIPAA regulations, and who
have authorized access.

(2) Disposition of records for discharged personnel
will be governed by the General Retention and Disposition
Schedule for New York State Government Records. Personal service
records may be destroyed six years after the member’s separation
from the New York Naval Militia.

w. New York Naval Militia Database. Headquarters, New
York Naval Militia shall maintain an accurate and current
electronic database on all members. The database shall be
administered by headquarters personnel. Maintenance and access
to the database shall conform to references (j), (q), (r), and
the Health Insurance Portability and Accountability Act.
Headquarters shall take all necessary precautions to safeguard
the integrity, reliability, and privacy of the database.

3. Forms. New York Naval Militia forms are available for the
following purposes. Examples are listed below and included in
Enclosure (1). Additional forms for specific programs are
promulgated through applicable New York Naval Militia
instructions. Forms may also be found on the New York Naval
Militia website, at: http://dmna.ny.gov/nynm/?id=forms. Whenever
possible, the use of “fillable” forms should be used. They
provide greater clarity and readability than those forms filled out by hand.

a. NYNM Form 100 Application for Enlistment. The primary use of this information is to identify persons who are eligible to enlist in the New York Naval Militia. This form is two parts, A and B. Part A is the applicant’s information. Part B is the Oath of Enlistment and the Certificate of Enlistment, which attests to the candidate’s acceptance.

b. NYNM Form 101 Nomination for Commission. The primary use of this information is to identify personnel who are eligible to serve in the New York Naval Militia as a commissioned officer.

c. NYNM FORM 1040 Notice of Administrative Action. Used for notification of pending personnel administrative actions relating to membership in the New York Naval Militia.

d. NYNM Form 1070 Civilian-Military Skills Questionnaire. The primary use of this information is to identify NYNM members with specific civilian-military education and skills and to match them to personnel needs arising during routine and emergency state active duty.

e. NYNM Form 1140 Information Brochure. The primary use of the information brochure is for recruiting and general public awareness.

f. NYNM Form 1620 Report of Counseling. For subordinate leader development.

g. NYNM Form 1900 Request for Separation or Retirement. The primary purpose of this form is request separation or retirement from the New York Naval Militia.

TEN EYCK B. POWELL, III
PRIVACY ADVISORY STATEMENT

NEW YORK NAVAL MILITIA

Accession, Program and Separation Personal Information


WHETHER DISCLOSURE IS MANDATORY OR VOLUNTARY AND EFFECT ON INDIVIDUAL OF NOT PROVIDING INFORMATION: The requested information is mandatory for New York Naval Militia (NYNM) members to insure that: (1) persons applying to join the New York Naval Militia and/or its specific programs meet all eligibility requirements; (2) required pay and tax information is available for purposes of any orders to state active duty; or (3) eligibility for separation from the New York Naval Militia, if applicable. If the requested information is not furnished, the NYNM member will not be considered for accession, or assignment for routine or emergency state active duty. If a NYNM member currently serving on routine or emergency state active duty declines to provide the requested information, the NYNM member’s assignment to routine or emergency state active duty may be terminated.

ROUTINEUSES: This all-inclusive Privacy Act Statement will apply to all requests for personal information made by the New York Naval Militia. It will become part of your New York Naval Militia service record. The intended use is to maintain a rapid recall capability, and to facilitate and document your eligibility for various New York Naval Militia programs.

PRINCIPAL PURPOSES FOR WHICH INFORMATION IS INTENDED TO BE USED: The primary use of this information is to identify NYNM members who are eligible to serve in the New York Naval Militia and its specific programs. This form provides you the advice required by the New York State Personal Privacy Act and the federal Privacy Act of 1974.

THISFORMISNOTACONSENTFORMTORELEASEPERSONALINFORMATIONPERTAININGTOYOU TO AGENCIES AND ENTITIES OUTSIDE OF THE NEW YORK STATE DIVISION OF MILITARY AND NAVAL AFFAIRS AND THE JOINT FORCES OF THE NEW YORK STATE ORGANIZED MILITIA.

Your signature merely acknowledges that you have been advised of the foregoing. If requested, a copy of this form will be furnished to you.

_________________________________________  _____________________________
Signature                                                   Date

_________________________________________

Printed name and grade/rank

Enclosure (1)
New York Naval Militia (NYNM)  
APPLICATION FOR ENLISTMENT

NOTICE

Acknowledgement

Persons enlisting into the New York Naval Militia acknowledge the following:

a. New York Naval Militia members are subject to recall to State Active Duty by the Governor of the State of New York.

b. The New York Naval Militia is a state military agency under the Division of Military and Naval Affairs. It is not part of the United States Department of Defense or Department of Homeland Security.

c. Persons 65 years of age and older are not eligible for membership in the New York Naval Militia.

d. The applicant consents to a background check which may include investigation of my employment history, educational background, criminal history, military records, credit history and department of motor vehicle records.

Conditions for Enlistment

The age, citizenship and residency, educational, military service and other requirements are found in NYNM FORM 1121. Review that document thoroughly and provide all supporting documentation when submitting this application.

Federal Component Alignment

Members of the New York Naval Militia wear the uniform and hold the rank of their respective federal military component.

I am retired, a military veteran, or a drilling reservist from the following federal military component:

Check One: ☐ U.S. Navy ☐ U.S. Marine Corps ☐ U.S. Coast Guard ☐ Non-prior military (separate justification required)

1. APPLICANT INFORMATION

1a. Last Name ☐ 1b. First Name ☐ 1c. Mļ ☐ 1d. Date of Birth ☐ 1e. Sex ☐ Male ☐ Female

1f. Home Address (mailing address): 1g. City 1h. County 1i. State 1j. Zip Code ☐ 1k. Full SSN

II. Cell Phone (☐ 1m. Home Phone ☐ 1n. Work Phone ☐

1o. Primary Email Address ☐ 1p. Secondary Email Address ☐

1q. Next of Kin (NOK) Name and Relationship ☐ 1r. Next of Kin (NOK) Address ☐ 1s. Next of Kin (NOK) Phone ☐

II. Marital Status: ☐ Single ☐ Married/Civil Union ☐ Divorced ☐ Widowed ☐

1u. Number of Dependent Exemptions Claimed ☐

2. MILITARY PERSONAL INFORMATION

I am: ☐ Retired Military ☐ Drilling Reservist ☐ Military Veteran (Discharged) ☐ Non-prior Military

2a. Designator/MOS 2b. Rate/Paygrade 2c. Date of Rank 2d. Federal Pay Entry Base Date

3. FEDERAL RESERVE PROGRAM (USNR, USMCR, USCGO) INFORMATION (if applicable)

3a. Reserve Center Name 3b. Reserve Unit Name 3c. Normal Drill Location

4. CIVILIAN EMPLOYMENT INFORMATION

4a. Employer Name 4b. Employer Address 4c. Occupation/Job Title

5. REFEREE INFORMATION

5a. Recruited or Referred By

Prior to acceptance, a Certificate of Enlistment (NYNMFORM 100B) must be signed by a certifying officer at New York Naval Militia Headquarters. In order for the application to be complete, documentation of prior service must accompany it. For active Reservists of the U.S. Navy, Marine Corps, or Coast Guard, a current Leave and Earnings Statement (LES) will suffice. For veterans and retirees, a copy of all DD Form 214 must be submitted.

All new applicants and members re-enlisting must complete the "Oath of Enlistment" section of the attached NYNM Form 100B.

NYNM Form 100A (Rev 04/16). Fax all documents to (518) 786-4427. New York Naval Militia, 330 Old Niskayuna Road, Latham, New York 12110
Oath of Enlistment

STATE OF NEW YORK; COUNTY OF ______

I, ____________________________,

(First Name) (Middle Name) (Last Name)

A citizen of the United States, do hereby acknowledge to have voluntarily enlisted this _____ day of ________________, 20____, as a member of the New York Naval Militia under the conditions prescribed by law, unless sooner discharged by proper authority, and I do also agree to accept from the State of New York such benefits as are or may be established by law, and I do solemnly swear (or affirm) that I will bear true faith and allegiance to the United States of America and the State of New York; that I will serve them honestly and faithfully; and that I will obey the orders of the Governor of the State of New York and the orders of the Officers appointed over me, according to Law. I understand that this enlistment will expire coincident with my federal reserve enlistment date or four years from date of acceptance, whichever is sooner.

Signature of applicant: ____________________________

(Date of federal reserve enlistment expiration): ____________________________

HOR: ____________________________

Email: ____________________________

Phone Number(s): ____________________________

Unit name/location: ____________________________

Certificate of Enlistment

(To be completed by New York Naval Militia Headquarters)

I certify that the above individual was enlisted and enrolled in the service of the State of New York on this _____ day of ________________, 20____. This enlistment expires on ________________, 20____.

(Signature of Certifying Officer): ____________________________

(Name of Officer): ____________________________

(Rank): ____________________________
PRIVACY ADVISORY STATEMENT

NEW YORK NAVAL MILITIA

Accession, Program and Separation Personal Information


WHETHER DISCLOSURE IS MANDATORY OR VOLUNTARY AND EFFECT ON INDIVIDUAL OF NOT PROVIDING INFORMATION: The requested information is mandatory for New York Naval Militia (NYNM) members to insure that: (1) persons applying to join the New York Naval Militia and/or its specific programs meet all eligibility requirements; (2) required pay and tax information is available for purposes of any orders to state active duty; or (3) eligibility for separation from the New York Naval Militia, if applicable. If the requested information is not furnished, the NYNM member will not be considered for accession, or assignment for routine or emergency state active duty. If a NYNM member currently serving on routine or emergency state active duty declines to provide the requested information, the NYNM member’s assignment to routine or emergency state active duty may be terminated.

ROUTINE USES: This all-inclusive Privacy Act Statement will apply to all requests for personal information made by the New York Naval Militia. It will become part of your New York Naval Militia service record. The intended use is to maintain a rapid recall capability, and to facilitate and document your eligibility for various New York Naval Militia programs.

PRINCIPAL PURPOSES FOR WHICH INFORMATION IS INTENDED TO BE USED: The primary use of this information is to identify NYNM members who are eligible to serve in the New York Naval Militia and its specific programs. This form provides you the advice required by the New York State Personal Privacy Act and the federal Privacy Act of 1974.

THIS FORM IS NOT A CONSENT FORM TO RELEASE PERSONAL INFORMATION PERTAINING TO YOU TO AGENCIES AND ENTITIES OUTSIDE OF THE NEW YORK STATE DIVISION OF MILITARY AND NAVAL AFFAIRS AND THE JOINT FORCES OF THE NEW YORK STATE ORGANIZED MILITIA.

Your signature merely acknowledges that you have been advised of the foregoing. If requested, a copy of this form will be furnished to you.

__________________________________________  __________
Signature                                             Date

__________________________________________
Printed name and grade/rank

NYNMINST 1001.1B

Enclosure (1)
New York Naval Militia (NYNM) NOMINATION FOR COMMISSION

NOTICE

Acknowledgement

1. Persons receiving a commission in the New York Naval Militia acknowledge the following:
   a. New York Naval Militia members are subject to recall to State Active Duty by the Governor of the State of New York.
   b. The New York Naval Militia is a state military agency under the Division of Military and Naval Affairs. It is not part of the United States Department of Defense or Department of Homeland Security.
   c. Persons 65 years of age and older are not eligible for membership in the New York Naval Militia.
   d. The applicant consents to a background check which may include investigation of my employment history, educational background, criminal history, military records, credit history and department of motor vehicle records.

Conditions for Commission

1. Resident of New York State; and
2. Citizen of the United States; and
3. Hold a federal service commission; and
4. Either a drilling reservist in the United States Navy, Marine Corps, or Coast Guard; or
5. Retired from either the United States Navy, Marine Corps, Coast Guard active or reserve forces; or
6. Maintain a special skill or aptitude that benefits the New York State Military Forces. Separate justification required.

Federal Component Alignment

1. Members of the New York Naval Militia wear the uniform and hold the rank of their respective federal military component.
   I am retired, a military veteran, or a drilling reservist from the following federal military component:

<table>
<thead>
<tr>
<th>Check One</th>
<th>U.S. Navy</th>
<th>U.S. Marine Corps</th>
<th>U.S. Coast Guard</th>
<th>Non-prior military (separate justification required)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1a. Last Name</td>
<td>1b. First Name</td>
<td>1c. MI</td>
<td>1d. Date of Birth</td>
<td>1e. Sex</td>
</tr>
<tr>
<td>1f. Home Address (mailing address)</td>
<td>1g. City</td>
<td>1h. County</td>
<td>1i. State</td>
<td>1j. Zip Code + 4</td>
</tr>
<tr>
<td>1k. Cell Phone</td>
<td>1m. Home Phone</td>
<td>1n. Work Phone</td>
<td></td>
<td></td>
</tr>
<tr>
<td>1o. Primary Email Address</td>
<td>1p. Secondary Email Address</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>1q. Next of Kin (NOK) Name and Relationship</td>
<td>1r. Next of Kin (NOK) Address</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>1s. Next of Kin (NOK) Phone</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>1t. Marital Status</td>
<td>1u. Number of Dependent Exemptions Claimed:</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Single</td>
<td>Married/Civil Union</td>
<td>Divorced</td>
<td>Widowed</td>
<td></td>
</tr>
</tbody>
</table>

2. MILITARY PERSONAL INFORMATION
   I am: ☐ Retired Military ☐ Ording Reservist ☐ Military Veteran (Discharged) ☐ Non-prior Military

| 2a. Designator/MOS | 2b. Rate/Paygrade | 2c. Date of Rank | 2d. Federal Pay Entry Base Date |

3. FEDERAL RESERVE PROGRAM (USNR, USMCR, USCGR) INFORMATION (if applicable)

| 3a. Reserve Center Name | 3b. Reserve Unit Name | 3c. Normal OTH Location |

4. CIVILIAN EMPLOYMENT INFORMATION

| 4a. Employer Name | 4b. Employer Address | 4c. Occupation/Job Title |

5. REFERRAL INFORMATION

| 5a. Recruited or Referred By |
Oath of Commissioning

STATE OF NEW YORK; COUNTY OF __________

I. ____________________________
   (First Name) (Middle Name) (Last Name)

A citizen of the United States, do hereby acknowledge to have voluntarily accepted a commission on this _____ day of ____________, 20___, as a member of the New York Naval Militia under the conditions prescribed by law, unless sooner discharged by proper authority, and I do also agree to accept from the State of New York such benefits as are or may be established by law, and I do solemnly swear (or affirm) that I will bear true faith and allegiance to the United States of America and the State of New York; that I will serve them honestly and faithfully; and that I will obey the orders of the Governor of the State of New York and the orders of the Officers appointed over me, according to Law. I make this obligation freely, without any mental reservations or purpose of evasion, and that I will will and faithfully discharge the duties of an Officer in the New York Naval Militia of the State of New York on which I am about to enter, so help me God.

Certificate of Commission

(To be completed by New York Naval Militia Headquarters)

I certify that the above individual was commissioned with the grade of _________ and enrolled in the service of the State of New York on this _____ day of ____________, 20___. This commission will remain in effect in accordance with the provisions of New York State Military Law.

______________________________
(Signature of Certifying Officer)

______________________________
(Name of Officer)
New York Naval Militia (NYNM) NOTICE OF ADMINISTRATIVE ACTION FOR OFFICIAL USE ONLY
NYNM Form 1040

NOTICE
Use: For notification of pending personnel administrative actions relating to membership in the New York Naval Militia. Unless a response is received by the recipient within 90 days of this NOTICE, the actions will become final.

1. ADMINISTRATIVE INFORMATION
1a. Last Name
1b. First Name
1c. MI
1d. Rank/Rate

2. ADMINISTRATIVE ACTION:
The following personnel administrative actions are pending in your case:

- [ ] DISCHARGE from the New York Naval Militia as a result of:
  - [ ] Separation from a federal reserve component.
    
    **Note:** Members of the United States Navy, Marine Corps, or Coast Guard Reserve, who are subsequently separated due to discharge or retirement, are automatically separated from the New York Naval Militia. Personnel desiring continued membership in the New York Naval Militia must submit a new application or nomination.
  
  - [ ] No longer a satisfactory drilling Reservist with the following unit: ____________________________
  
  - [ ] Administrative Separation action due to: ____________________________
  
  - [ ] Not meeting residency requirements.
  
  - [ ] Loss of contact.

- [ ] TRANSFER to the State Reserve List as a result of:
  
  - [ ] Not meeting physical eligibility requirements.
  
  - [ ] OTHER: ____________________________

- [ ] OTHER administrative action ____________________________, as a result of:

3. ACTIONS REQUIRED ON YOUR PART:
The actions above will become permanent 90 days from the date of this notice, unless documentation is provided to Headquarters, New York Naval Militia to demonstrate that the pending action is incorrect. Forward documentation to:

*New York Naval Militia*
*330 Old Niskayuna Road*
*Latham, New York 12110*

Or by fax: (518) 786-4427

For additional information, contact (518) 786-4853.

2b. Signature of New York Naval Militia Representative
2c. Date (DD MMM YY)

NYNM FORM 1040 (04/10)
New York Naval Militia
Civilian-Military Skills Questionnaire

PERSONAL IDENTIFIER INFORMATION:

Name: _____________________________  Last: _____________________________  First, M.I.:

DOB: _____________________________  /  _____________________________

Address: _____________________________

Phone: _____________________________  Home: _____________________________  Work: _____________________________

Fax: _____________________________  Cell: _____________________________

E-mail: _____________________________  Home: _____________________________  Work: _____________________________

Grade: _____________________________  Rank/Rate: _____________________________

Component: USCGR  USMCR  USNR  Non-Drill Group

UNIT: _____________________________  Duty Station: _____________________________

Status: [ ] Drilling Reservist  [ ] Retired Military  [ ] Retired Reservist eligible for pay at age 60.  [ ] Other: (Amplify)

Receiving Military Retirement Pay

Duty Availability: [ ] Within 24 hours  [ ] Within 48 hours  [ ] Within 7 days  [ ] After 7 days

Duty Duration: [ ] Less than 1 week  [ ] 1-2 weeks  [ ] 2-4 weeks  [ ] More than 4 weeks

Marital Status: [ ] Single  [ ] Married/Civil Union  [ ] Divorced  [ ] Widowed

Dependent Exemptions Claimed: _____________________________

CIVILIAN EDUCATION:

<table>
<thead>
<tr>
<th>HIGH SCHOOL, COLLEGE and/or PROFESSIONAL SCHOOL (Name and Location)</th>
<th>ATTENDED FROM</th>
<th>TO</th>
<th>DEGREE TITLE</th>
<th>DATE</th>
<th>MAJOR/MINOR FIELD OF STUDY</th>
</tr>
</thead>
</table>

CIVILIAN OCCUPATION:

Employer: _____________________________

Address: _____________________________

City, Zip: _____________________________

Phone: (______)  Contact Name: _____________________________

CIVILIAN QUALIFICATIONS:

[ ] EMT/Paramedic  [ ] Firefighter  [ ] Translator  [ ] CISM

[ ] MD  [ ] PA  [ ] CDL ___  [ ] RN / LPN

[ ] DO  [ ] Chaplain / Clergy  [ ] Welder MIG/TIG  [ ] Other

[ ] NP  [ ] Faith: _________  [ ] Diesel Mechanic  (amplify):

[ ] DDS  [ ] Ferry Pilot  [ ] Eng Mechanic  _____________________________

[ ] Attorney  [ ] Boat Coxswain  [ ] Police Officer  _____________________________
### NEW YORK NAVAL MILITIA

#### MILITARY QUALIFICATIONS:

List all current military qualifications held:
(MOS, NOBC, NEC, Designator)

<table>
<thead>
<tr>
<th>Code</th>
<th>Title</th>
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</thead>
<tbody>
<tr>
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</table>

Military qualifications (Continued):

<table>
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<tr>
<th>Code</th>
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</table>

#### FOREIGN LANGUAGE FLUENCY:

<table>
<thead>
<tr>
<th>FOREIGN LANGUAGE</th>
<th>LANGUAGE PROFICIENCY SOURCE CODE</th>
<th>PROFICIENCY RATING</th>
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</thead>
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<td>READ</td>
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<td>LISTEN</td>
</tr>
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</table>

**LANGUAGE PROFICIENCY SOURCE CODE & DESCRIPTION**

<table>
<thead>
<tr>
<th>Code</th>
<th>Description</th>
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</thead>
<tbody>
<tr>
<td>A</td>
<td>CIVILIAN SCHOOL COURSE</td>
</tr>
<tr>
<td>B</td>
<td>DEFENSE LANGUAGE INSTITUTE (DLI)</td>
</tr>
<tr>
<td>C</td>
<td>FOREIGN RESIDENCE</td>
</tr>
<tr>
<td>D</td>
<td>HOME ENVIRONMENT</td>
</tr>
<tr>
<td>E</td>
<td>MILITARY SCHOOL (OTHER THAN DLI)</td>
</tr>
<tr>
<td>F</td>
<td>SELF STUDY</td>
</tr>
</tbody>
</table>

#### DRIVER LICENSE INFORMATION

<table>
<thead>
<tr>
<th>ID#:</th>
<th>STATE:</th>
<th>CLASS:</th>
<th>EXPIRES:</th>
</tr>
</thead>
<tbody>
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</tbody>
</table>

#### OTHER RELEVANT INFORMATION:

Signature: ____________________________ Date: ____________________________

1. This form will assist the New York Naval Militia to determine the various skills sets possessed by members of the force. This form asks for comprehensive information on both civilian and military-acquired skills that may prove beneficial to the Naval Militia.

2. Members are to complete this form and return to New York Naval Militia headquarters through their Reserve Unit Point of Contact, or fax directly to 518-786-4427. Enclose copies of certifications, qualifications, diplomas, to support the information provided.

3. Information provided by this form will be maintained in the New York Naval Militia database, and in the member's service record at headquarters.
New York Naval Militia (NYNM)  REPORT OF COUNSELING  FOR OFFICIAL USE ONLY NYNM Form 1620

NOTICE

Use: For subordinate leader development. Leaders should use this form as necessary. Continuation page located on reverse.

1. ADMINISTRATIVE INFORMATION

<table>
<thead>
<tr>
<th>1a. Last Name</th>
<th>1b. First Name</th>
<th>1c. MI</th>
<th>1d. SSN (Last FOUR)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
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<table>
<thead>
<tr>
<th>1e. Organization</th>
<th>1f. Name and Title of Counselor</th>
</tr>
</thead>
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<td></td>
</tr>
</tbody>
</table>

2. BACKGROUND INFORMATION

Purpose of Counseling:

- [ ] PERFORMANCE
- [ ] RESPONSIBILITIES
- [ ] CAREER ADVANCEMENT
- [ ] INDEBTEDNESS
- [ ] APPEARANCE
- [ ] PERSONAL BEHAVIOR
- [ ] OJT PROGRESS
- [ ] OTHER

2a. Reason which caused the counseling requirement:

2b. Counselor’s Signature

2c. Date (DD MMM YY)

3. PLAN OF CORRECTIVE ACTION:

4. ACKNOWLEDGEMENT:

Individual counseled: [ ] I agree  [ ] I disagree with the information above.

Individual counseled remarks:

4a. Member Name (Type or Print)

4b. Signature

4c. Date (DD MMM YY)

NYNM 1620 (REV 08/09)  Note: Provide original to individual counseled and retain copy in member’s service record.
New York Naval Militia
(NYNM)

REQUEST FOR SEPARATION OR RETIREMENT

Acknowledgement

1. I hereby request:

Check One: ☐ Separation/Discharge ☐ Retirement

From the New York Naval Militia.

2. I understand that, if approved for retirement, I will be placed on the State Retired List.

3. I further understand that separation or retirement from the New York Naval Militia will prevent me from receiving any further benefits from the New York Naval Militia, to include State Active Duty, non-pay orders, tuition benefits, toll passes, and identification cards.

4. (If applicable) I have (or will) separate or retire from my federal reserve component effective this date: ______/_____/______

<table>
<thead>
<tr>
<th>1a. Last Name</th>
<th>1b. First Name</th>
<th>1c. MI</th>
<th>1d. Date of Birth (DD MMM YYYY)</th>
<th>1e. Sex</th>
<th>1f. Home Address (mailing address)</th>
<th>1g. City</th>
<th>1h. State</th>
<th>1i. Zip Code + 4</th>
<th>1j. SSN</th>
</tr>
</thead>
<tbody>
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</tr>
</tbody>
</table>

I do here by acknowledge the above criteria for separation/discharge or retirement.

__________________________
Signature of Member

Sworn and subscribed before me. This member has been discharged.

PRINT name of NYNM Certifying Officer

Signature of Certifying Officer

For Official Use Only

New York State Long and Faithful Award Information
Ref. DMNA Reg 672-1

Member is eligible for the following Long and Faithful Award: _______________________ Years.

Member is separated in the rank of: ____________________________

NYNM Form 1900 (Rev 11/09)