

COOPERATIVE AGREEMENT MODIFICATION

Agreement/Appendix Title and Number: **W912PQ-16-2-1001**
 Project Name/Description and Location: **ARNG FACILITIES PROGRAM**
 Modification Number: **P0009**

ISSUED BY: NATIONAL GUARD BUREAU ISSUED TO: THE STATE OF NEW YORK

This modification is issued pursuant to the Authorities, 31 U.S.C. Chapter 63; MCA and Appendices Article VII, Sect 703; MCCA Article XII, Sect 1201 of the basic cooperative agreements. Mark purpose and obtain signatures as appropriate IAW NGR 5-1, Chapter 3.

- a. FUNDING
- b. TERMS AND CONDITIONS
- c. TERMINATION
- d. OTHER ADMINISTRATIVE:

Action	Funding Source	From	Change	To
MFL-FED	Source A Funding (Navy-Farmingdale)	\$ 111,183.97	0.00	\$ 111,183.97
	Source A Funding(Marines-Farmingdale)	\$ 122,928.88	0.00	\$ 122,928.88
	Total FED Source A Funding	\$ 234,112.85	0.00	\$ 234,112.85
MFL-FED	Allotment 100%	\$ 14,682,443.33	0.00	\$ 14,682,443.33
	75%	\$ 3,586,385.78	0.00	\$ 3,586,385.78
	50%	\$ 13,311,383.89	0.00	\$ 13,311,383.89
	TOTAL FEDERAL MFL	\$ 31,580,213.00	0.00	\$ 31,580,213.00
AFP-FED	Source A Funding (Navy-Farmingdale)	\$ 111,183.97	0.00	\$ 111,183.97
	Source A Funding (Marines-Farmingdale)	\$ 122,928.88	0.00	\$ 122,928.88
	Total FED Source A Funding	\$ 234,112.85	0.00	\$ 234,112.85
AFP-FED	AFP 100%	\$ 14,682,443.33	0.00	\$ 14,682,443.33
	75%	\$ 3,586,385.78	0.00	\$ 3,586,385.78
	50%	\$ 13,311,383.89	0.00	\$ 13,311,383.89
	TOTAL FEDERAL AFP	\$ 31,580,213.00	0.00	\$ 31,580,213.00
IKA	In Kind Assistance	\$ 3,600,000.00	0.00	\$ 3,600,000.00
MFL-STATE	Allotment 50%	\$ 13,311,383.89	0.00	\$ 13,311,383.89
	25%	\$ 1,195,461.93	0.00	\$ 1,195,461.93
	TOTAL STATE MFL	\$ 14,506,845.82	0.00	\$ 14,506,845.82
AFP-STATE	AFP 50%	\$ 13,311,383.89	0.00	\$ 13,311,383.89
	25%	\$ 1,195,461.93	0.00	\$ 1,195,461.93
	TOTAL STATE AFP	\$ 14,506,845.82	0.00	\$ 14,506,845.82
IKA	In Kind Assistance (Ft Drum and Watervliet) 25%	\$ 100,000.00	0.00	\$ 100,000.00
	PROGRAM GRAND TOTAL MFL	\$ 46,087,058.82	0.00	\$ 46,087,058.82
	PROGRAM GRAND TOTAL AFP	46,087,058.82	0.00	46,087,058.82

DESCRIPTION OF MODIFICATION:

1. JUSTIFICATION: Transfer of AFP and Allotment for Appendix 1001, ARNG Facilities Program for Fiscal Year 2016.
2. SUMMARY:
 - a. Transfer of AFP and Allotment in the amount of \$36,000.00 from QRPA to 132ERVT.
 - QRPA AFP and Allotment decreasing from \$15,764,800.00 to 15,728,800.00
 - 132ERVT AFP and Allotment increasing from \$300,000.00 to \$336,000.00
3. ACTION: TAG signature and review of the ARNG Facilities Programs Appendix 1001, MOD 9. Return signed modification to MNPFF-EA, Room 207, Cooperative Agreements, x4775/4709.
4. This Appendix is subject to the availability of funds.
5. Replace pages 5-6 of Appendix 1001, FY 16 with updated attachment.
6. As FY2016 budgets are approved by NGB, program managers will add funding to the Appendix by separate modification.

EXECUTION

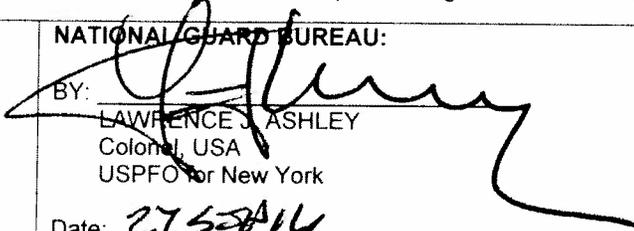
Except as provided herein, all terms and conditions of the Cooperative Agreement and/or Appendix remain unchanged in full force and effect.

IN WITNESS WHEREOF, the parties by their authorized representatives execute this Cooperative Agreement Modification.

THE STATE OF NEW YORK:

NATIONAL GUARD BUREAU:

BY: 
 ANTHONY P. GERMAN
 Major General, NYANG
 The Adjutant General

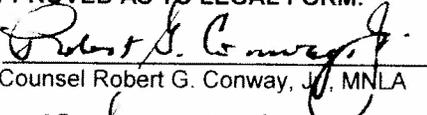
BY: 
 LAWRENCE J. ASHLEY
 Colonel, USA
 USPFPO for New York

Date: 9/23/16

Date: 27 SEP 16

APPROVED AS TO LEGAL FORM:

APPROVED AS TO LEGAL FORM:

BY: 
 Counsel Robert G. Conway, J. MNLA

BY: 
 Counsel Sean D. O'Brien, LTC, JA, NYARNG

Date: 13 Sept 2016

Date: 13 Sep 2016

MEMORANDUM FOR MNPF, CW3 Davin

SUBJECT: Modification of Master Cooperative Funding Agreement (CFA), W912PQ-16-2-1001, Appendix #1 (ARNG Facilities Programs)

1. Request that modification request #10 be issued to Appendix #1 of the Master CFA for Fiscal Year 2016 to reflect a transfer of AFP and Allotment in the amount of \$36,000.00 from QRPA to 132ERVVT decreasing QRPA AFP and Allotment from \$15,764,800.00 to \$15,728,800.00 and increasing the AFP and Allotment for 132ERVVT from \$300,000.00 to \$336,000.00.

a. Section 109. Funding Limitation is changed as follows:

	(AFP)	(Allotment)
	Estimated Cost	Maximum Funding Limitation
QRPA	15,728,800.00	15,728,800.00
132-ERVVT	336,000.00	336,000.00
131-ERVVT	139,000.00	139,000.00
QDPW	8,502,030.00	8,502,030.00
EAFS	0.00	0.00
QDEM	250,000.00	250,000.00
VFRE	335,400.00	335,400.00
ERER	2,180,625.00	2,180,625.00
EMNR	400,000.00	400,000.00
ERED	1,377,358.00	1,377,358.00
QHFM	85,000.00	85,000.00
132QUTM	966,000.00	966,000.00
131QUTM	280,000.00	280,000.00
E3H5	1,000,000.00	1,000,000.00
Federal Total	31,580,213.00	31,580,213.00
Federal Share (100%)	14,682,443.33	14,682,443.33
Federal Share (75%)	3,586,385.78	3,586,385.78
Federal Share (50%)	13,311,383.89	13,311,383.89
Federal Total	31,580,213.00	31,580,213.00
Federal Source-A Funding – Marines	122,928.88	122,928.88
Federal Source-A Funding – Navy	111,183.97	111,183.97
State Share (50%)	13,311,383.89	13,311,383.89
State Share (25%)	1,195,461.93	1,195,461.93
State Total	14,506,845.82	14,506,845.82
Grand Total	46,087,058.82	46,087,058.82

MNFE (37)

SUBJECT: Modification of Master Cooperative Funding Agreement (CFA),
W912PQ-16-2-1001, Appendix #1 (ARNG Facilities Programs)

b. Within the Federal Share indicated above there is \$3,600,000.00 is reserved and designated specifically for in-kind assistance through Federal procurement procedures. The use of in-kind assistance shall be at the request of the Adjutant General as approved by the USPFO. NGB approval is not necessary.

2. This appendix is subject to the availability of funds.

3. The POC on this issue is SGT Nicole Richardson, and can be reached at extension 6117.



D. SCOTT CLEVELAND
COL, EN, NYARNG
Program Manager (CFMO)

CF:
MNBF, Liz Halpin

COL CLEVELAND			GFEB5	PENDING TRANSFERS
AMSCO	MDEP	TITLE	AFP	Allotment
121G16	TATM	INTEGRATED TRAINING AREA MGMNT		
121G16	VSCW	TRAINING RANGE OPERATIONS	271,000.00	271,000.00
121G16	VSRM	RANGE MODERNIZATION PROGRAM		
131G79	QDPW	REAL PROPERTY SERVICES	8,502,030.00	8,502,030.00
131G79	ERVT	FACILITIES - MILCON TAILS	139,000.00	139,000.00
131G39	QPSM	PHYSICAL SECURITY	2,865,400.00	2,865,400.00
132G93	QDEM	FACILITY REDUCTION PROGRAM	250,000.00	250,000.00
131G52	QHFM	UPF MANAGEMENT & FURNITURE	85,000.00	85,000.00
132G78	QRPA	MINOR CONSTRUCTION	15,728,800.00	15,728,800.00
132G76	QUTM	RESTORATION, MODERNIZATION	1,246,000.00	1,246,000.00
132G76	EMNR	VEHICLE MAINT FACILITIES R&M	400,000.00	400,000.00
132G76	ERER	RC READINESS FACILITY R&M	2,180,625.00	2,180,625.00
131G35	ERED	RESTORATION, MODERNIZATION	1,377,358.00	1,377,358.00
132G76	E3H5	RESTORATION, MODERNIZATION	1,000,000.00	1,000,000.00
132G**	ERVT	FACILITIES - MILCON TAILS	336,000.00	336,000.00
131G53	VENQ	ENVIRONMENTAL CONSERVATION	177,000.00	177,000.00
131G56	VENQ	ENVIRONMENTAL COMPLIANCE	755,400.00	755,400.00
121G10	VFRE	OCO RANGE OPERATIONS	45,000.00	45,000.00
131G79	VFRE	INSTALLATION SUPPORT	335,400.00	335,400.00
TOTAL 2065			\$ 35,694,013.00	\$ 35,694,013.00

APP 1	\$31,580,213.00	\$31,580,213.00
APP 2	932,400.00	932,400.00
APP 3	2,315,000.00	2,315,000.00
APP 4	550,400.00	550,400.00
APP 7	\$316,000.00	316,000.00
APP 7 OCO	0.00	
TOTAL	\$35,694,013.00	35,694,013.00

GFEB5 REPORT	AFP	Allotment	fund
SOF Command Cumulative	121 VSRM - App #7	0.00	206510D16
	121 VSCW - App #7	271,000.00	206510D16
		271,000.00	
PENDING: Transfer of 6,100.00 to MNCI for MNFE Verizon Phones	131 QDPW - App #1	8,502,030.00	206510D16
July Transfer of 246,700 to ERED, 280,000 to 131QUTM, and 28,000 to ERED Mod Req #8	QDPW - App #1	0.00	206511D16
	OCO VFRE - App #1	335,400.00	
	QPSM - App#1	0.00	
	QUTM - App#1	280,000.00	
Transfer of 6,000.00 MNL for vehicles and 1,600.00 to MNCI for BB's	QPSM App#4	550,400.00	206510D16
	QPSM App #3	2,315,000.00	
	VIPP - App #3	0.00	206510D16
Transfer of 36K form QRPA to 132ERVT Erosion Control	ERED - App#1	1,377,358.00	
	QHFM - App #1	85,000.00	
	VENQ - App #2	932,400.00	206510D16
	131 -ERVT - App #1	139,000.00	206510D16
		14,516,588.00	
	132 ERVT - App #1	336,000.00	206510D16
	AoN ERER - App #1	1,980,625.00	206510D16
	SRM ERER - App #1	200,000.00	206510D16
July Transfer of 300K to ERVT Mod Req #8	QRPA - App #1	15,728,800.00	206510D16
	EAFS - App #1	0.00	206510D16
	EMNR - App #1	400,000.00	206510D16
	E3H5 - App #1	1,000,000.00	
	QUTM - App #1	966,000.00	
	QDEM - App #1	250,000.00	206510D16
		20,861,425.00	
	OCO FUNDING		
	121 VFRE - App #7	45,000.00	206511D16
	131 VFRE - App #1	0.00	206511D16
		45,000.00	
	TOTAL	35,694,013.00	35,694,013.00

APPENDIX 1	AFP	ALLOTTMENT	REMAINING TO OBLIGATE
			\$0.00
QRPA	15,728,800.00	15,728,800.00	0.00
132-ERVT	336,000.00	336,000.00	0.00
131-ERVT	139,000.00	139,000.00	0.00
QDPW	8,502,030.00	8,502,030.00	0.00
QDPW - 206511D16	0.00	0.00	0.00
VFRE (OCO)	335,400.00	335,400.00	0.00
ERER - AON-DESIGN ONLY	1,980,625.00	1,980,625.00	0.00
ERER - SRM	200,000.00	200,000.00	0.00
EAFS	0.00	0.00	0.00
E3H5	1,000,000.00	1,000,000.00	0.00
QDEM	250,000.00	250,000.00	0.00
QPSM	0.00	0.00	0.00
EMNR	400,000.00	400,000.00	0.00
ERED	1,377,358.00	1,377,358.00	0.00
QHFM	85,000.00	85,000.00	0.00
132QUTM	966,000.00	966,000.00	0.00
131QUTM	280,000.00	280,000.00	0.00
TOTAL	31,580,213.00	31,580,213.00	0.00

1. Changes the CA PM makes to the budget during the fiscal year do not require ARNG-ILI approval. However, the TAG and the USPFO must execute a CA modification, when the State Military Department wishes to decrease the federal funds in its SRF or to decrease the Federal funds in its approved FP budget. Such modifications must include a cover letter, the modification, and reconciliation. The change shall not be binding unless a CA modification to this Appendix has been executed.

2. Annual Funding Program (AFP) may not be transferred from the FP without a signed modification to the Appendix that includes justification for the transfer. Transfers of funds within the FP Appendix that remain part of the FP do not require a modification.

3. Funding transfers into the FP, whether from ARNG-ILI, other NGB program managers, the State Military Department, PBAC, or program income, do not require ARNG-ILI approval. They will, however, require a modification to the FP Appendix. The transfer shall not be binding unless a CA modification to this Appendix has been executed.

4. CA PM's must submit via e-mail an electronic copy of the executed Appendix and all modifications thereto to ARNG-ILI immediately upon execution.

e. Budget Reports.

The CA PM shall submit any requested financial or budget report that ARNG-ILI may require.

Section 108. Appendix Administration.

ARNG-ILI and NGB- PARC-A must approve any changes to the instructions, terms or conditions of the Appendix, IAW NGR 5-1, Chapter 3. The change shall not be binding unless it is so approved. The change and approval shall not be binding unless a CA modification to this appendix has been executed.

Section 109. Funding Limitation.

a. Approved Budget/Annual Funding Program (AFP): The total dollar amount that NGB anticipates, subject to the availability of funds, being available for reimbursement to the Grantee for its costs in fulfilling its responsibilities under this Appendix. This amount may be increased or decreased by NGB during the fiscal year.

b. Total Dollars Obligated: The total amount of funds obligated for NGB's share under this Appendix. Only funds obligated through an executed CA modification to this appendix are available for reimbursement to the Grantee. Funds shall be obligated as received by the CA PM.

c. Accomplished as In-Kind Assistance: The total dollars expended through federal acquisition. This dollar amount may reflect anticipated In-Kind Assistance and be updated as IKA is approved, but as a minimum shall reflect the total dollars accomplished through In-Kind Assistance in support of this Appendix for each fiscal year as it occurs.

d. Program Income: The gross income received by the Grantee from fees for services performed and from the use or rental of real or personal property, the operation and maintenance of which is supported under this Appendix. Program Income shall be added to the budget as a Grantee contribution regardless of the percentage of federal contribution reflected in this Appendix. This dollar amount may reflect anticipated Program Income and be updated as Program Income is reported, but as a minimum shall reflect the total dollars received as Program Income for each fiscal year as it occurs.

e. In accordance with Section 107 the following funding limitations are provided for each fiscal year as it occurs:

1. Fiscal Year 2016:

	Approved Budget/(AFP)	Total Dollars Obligated
Federal Share (100%)	\$14,682,443.33	\$14,682,443.33
Federal Share (75%)	\$ 3,586,385.78	\$ 3,586,385.78
<u>Federal Share (50%)</u>	<u>\$13,311,383.89</u>	<u>\$ 13,311,383.89</u>
Federal Total	\$31,580,213.00	\$31,580,213.00
In Kind Assistance	\$ 3,600,000.00	\$ 3,600,000.00
Grantee Share 25% IKA	\$ 100,000.00	\$ 100,000.00
Source A Funding (Navy/Marines)	\$ 234,112.85	\$ 234,112.85
Grantee Share (50%)	\$13,311,383.89	\$13,311,383.89
<u>Grantee Share (25%)</u>	<u>\$ 1,195,461.93</u>	<u>\$ 1,195,461.93</u>
Grantee Total	\$14,506,845.82	\$14,506,845.82

2. Fiscal Year _____:

	Approved Budget/(AFP)	Total Dollars Obligated
Federal Share (100%)	\$ _____	\$ _____
Federal Share (75%)	\$ _____	\$ _____
Federal Share (50%)	\$ _____	\$ _____
Federal Total	\$ _____	\$ _____
Accomplished as In-Kind Assistance	\$ _____	
Grantee Share (50%)	\$ _____	\$ _____
Grantee Share (25%)	\$ _____	\$ _____
Program Income	\$ _____	\$ _____
Grantee Total	\$ _____	\$ _____

3. Fiscal Year _____:

	Approved Budget/(AFP)	Total Dollars Obligated
Federal Share (100%)	\$ _____	\$ _____
Federal Share (75%)	\$ _____	\$ _____
Federal Share (50%)	\$ _____	\$ _____
Federal Total	\$ _____	\$ _____
Accomplished as In-Kind Assistance	\$ _____	
Grantee Share (50%)	\$ _____	\$ _____
Grantee Share (25%)	\$ _____	\$ _____
Program Income	\$ _____	\$ _____
Grantee Total	\$ _____	\$ _____

4. Fiscal Year _____:

	Approved Budget/(AFP)	Total Dollars Obligated
Federal Share (100%)	\$ _____	\$ _____
Federal Share (75%)	\$ _____	\$ _____
Federal Share (50%)	\$ _____	\$ _____
Federal Total	\$ _____	\$ _____
Accomplished as In-Kind Assistance	\$ _____	
Grantee Share (50%)	\$ _____	\$ _____
Grantee Share (25%)	\$ _____	\$ _____
Program Income	\$ _____	\$ _____
Grantee Total	\$ _____	\$ _____

5. Fiscal Year _____:

NC Status by FC - Summary

Created

Nicole Richardson on 09/02/2016 11:26:44

NC Status by FC - Summary

Date of Selection: 09/02/2016 From Period: 1 To Period: 16
 Fund: 206510D16 O & M, ARNG SAG: 132G76ERVT,132G78QRPA Functional Area
 Funds Center: A181X NY J4FMO FP: *

FCr/Fund/Area	AFP	Allotment	Commitment	Obligation	Expenses	Disbursements	Available Allotm	Avail AFP
*** Total All			124,522.86	14,234,337.47		1,695,311.42	16,054,171.75-	16,054,171.75-
** A181X NYConstruction and Facility Management			124,522.86	14,234,337.47		1,695,311.42	16,054,171.75-	16,054,171.75-
* 206510D16 O & M, ARNG			124,522.86	14,234,337.47		1,695,311.42	16,054,171.75-	16,054,171.75-
132G76ERVT Facilities Restoration an			100,000.00	236,000.00			336,000.00-	336,000.00-
132G78QRPA Facilities Sustainment			24,522.86	13,998,337.47		1,695,311.42	15,718,171.75-	15,718,171.75-

COVER SHEET

(Prescribing Directive is DMNA Reg 1-1, Proponent Office is MNAG)

SUBJECT: FY-16 Transfer of Funding for Appendix #1	OFFICE SYMBOL: MNFE
ACTION REQUIRED: For IMMEDIATE REVIEW AND APPROVAL	DATE: 2 September 2016

MEMORANDUM FOR RECORD: (Describe briefly the requirement, background and action taken or recommended. Description must be sufficiently detailed to identify action without recourse to other sources.) (Continue Memorandum or Coordination on reverse as needed.)

1. Justification: FY16 transfer of funds from MNFE Appendix #1 QRPA to MNFE Appendix #1 ERVT
Request to move \$36,000.000 in AFP and Allotment from 132G78QRPA to 132G76ERVT to support the Ft Drum MATES Erosion Control Project.
2. ACTION: Approve and sign this request to transfer funds.
3. POC for this action is SGT Nicole Richardson, ext 6117 nicole.richardson4.mil@mail.mil.

COORDINATIONS				ADMIN REVIEW		
OFFICE	NAME	DATE	(NON) CONCUR		INITIALS	DATE
1. MNPF	CW3 Davin		<input type="checkbox"/> N <input type="checkbox"/> C	INITIAL REVIEW		
2. MNPF	Ms. Blanton		<input type="checkbox"/> N <input type="checkbox"/> C	FINAL REVIEW		
			<input type="checkbox"/> N <input type="checkbox"/> C	APPROVALS		
			<input type="checkbox"/> N <input type="checkbox"/> C	MNAG-DJS		
			<input type="checkbox"/> N <input type="checkbox"/> C	MNAG-SAS		
			<input type="checkbox"/> N <input type="checkbox"/> C	MNAG-COS		
			<input type="checkbox"/> N <input type="checkbox"/> C	MNAG-DAG		
			<input type="checkbox"/> N <input type="checkbox"/> C	MNAG-TAG		
			<input type="checkbox"/> N <input type="checkbox"/> C	FILE		DISPATCH
			<input type="checkbox"/> N <input type="checkbox"/> C	<input type="checkbox"/>		<input type="checkbox"/>

ACTION OFFICER (Name, grade, phone and signature)
 D. SCOTT CLEAVELAND, COL, 4309

TRANSFER REQUEST FORM

TO: Lisa Blanton

FROM: MNFE

<u>PROGRAM</u>	<u>BA/SAG</u>	<u>MDEP</u>	<u>AMOUNT</u>	<u>SYSTEM</u>
MNFE	132	QRPA	(36,000.00)	GFEB
MNFE	132	ERVT	36,000.00	GFEB

Attachment 1



DEPARTMENTS OF THE ARMY AND THE AIR FORCE
NATIONAL GUARD BUREAU
UNITED STATES PROPERTY AND FISCAL OFFICER FOR NEW YORK
330 OLD NISKAYUNA ROAD
LATHAM, NEW YORK 12110-3514

Bulletin Number:	MNPF-EA-006	Date Issued:	03/10/16
Bulletin Issued By:	Lawrence J. Ashley, COL, USA, USPFO-NY	<i>[Signature]</i>	
Bulletin Subject:	Cooperative Agreement Modifications (5 Day Turn Around)		
Bulletin OPR:	MNPF-EA, Grants and Agreements		

1. Requests for funding adjustments (modifications) to all ARMY and ANG Cooperative Agreements:

a. Within five (5) business days of notification of funding adjustments from the (ARNG/ANG) Comptroller Division, the Program Director will prepare a modification request and submit to the USPFO, Cooperative Agreement Management Analyst. The modifications are to be signed by the ANG Comptroller and the ARNG Program Director only. This authority will not be delegated below that level.

2. Reference:

- a. ANG 18 February 2014 NGB MEMORANDUM
- b. ANG 2016 Financial Guidance, Page 53, paragraph (v) Obligation within 45 days

3. This information will be incorporated into the next update of the USPFO EA SOP.

4. POC: If you have any questions, please contact CW3 Renee M. Davin at 518-786-4709 or by email at renee.m.davin.mil@mail.mil.
