

COVER SHEET

(Prescribing Directive is DMNA Reg 1-1, Proponent Office is MNAG)

SUBJECT:	OFFICE SYMBOL:
FY 16 Modification for the ARNG Security Appendix, APP 1003 (MOD 2)	MNPF-EA
ACTION REQUIRED:	DATE:
TAG Signature	11/9/15/15

MEMORANDUM FOR RECORD. (Describe briefly the requirement, background and action taken or recommended. Description must be sufficiently detailed to identify action without recourse to other sources.) (Continue Memorandum or Coordination on reverse as needed.)

1. JUSTIFICATION: Upward Obligation for Appendix 1003, ARNG Security Guard Activities for Fiscal Year 2016.

Action	Funding Source	From	Change	To
FED	Allotment 100% - QPSM		\$509,200.00	\$ 509,200.00
	Allotment 100% - VIPP	\$ -	\$0.00	\$ -
	TOTAL ALLOTMENT	\$ -	\$509,200.00	\$ 509,200.00
IKA	IKA Federal 100%		\$0.00	
FED	AFP 100% - QPSM	\$ 1,291,668.00	\$631,332.00	\$ 1,923,000.00
	AFP 100% - VIPP	\$ -	\$0.00	
	TOTAL AFP	\$ 1,291,668.00	\$631,332.00	\$ 1,923,000.00

2. SUMMARY: Adjust AFP to reflect actual NGB program funding vs. opening estimate; Add Allotment

3. ACTION: TAG review, sign, and return modification to MNPF-EA, Room 207, Cooperative Agreements, x4775/4709.

02:2 PM IN 16NOV15 MMBF

OFFICE	NAME	DATE	(NON) CONCUR	ADMIN REVIEW		
				INITIALS	DATE	
MNBF	Robert Martin <i>RAM</i>	12/17/15	<input type="checkbox"/> N <input checked="" type="checkbox"/> C	INITIAL REVIEW		
MNLA	LTC O'Brien <i>SOB</i>	18 Dec 2015	<input type="checkbox"/> N <input type="checkbox"/> C	FINAL REVIEW		
MNLA	Mr. Conway <i>RC</i>	18 Dec 15	<input type="checkbox"/> N <input checked="" type="checkbox"/> C	APPROVALS		
OTAG	LTC Smith <i>LS</i>	10 Jan 16	<input type="checkbox"/> N <input type="checkbox"/> C	MNAG-DJS		
			<input type="checkbox"/> N <input type="checkbox"/> C	MNAG-SAS	<i>MLK</i>	<i>22 Dec 15</i>
			<input type="checkbox"/> N <input type="checkbox"/> C	MNAG-COS	<i>RC</i>	<i>13 Jan 16</i>
			<input type="checkbox"/> N <input type="checkbox"/> C	MNAG-DAG		
			<input type="checkbox"/> N <input type="checkbox"/> C	MNAG-TAG	<i>RAM</i>	<i>13 Jan 16</i>
			<input type="checkbox"/> N <input type="checkbox"/> C	FILE		DISPATCH
			<input type="checkbox"/> N <input type="checkbox"/> C	<input type="checkbox"/>		<input type="checkbox"/>

ACTION OFFICER (Name, grade, phone and signature)

Lawrence J. Ashley, COL, USA, USPFO for NEW YORK, x4711

COOPERATIVE AGREEMENT MODIFICATION

Agreement/Appendix Title and Number: **W912PQ-16-2-1003**
 Project Name/Description and Location: **ARNG Security Guard Activities**
 Modification Number: **P0002**

ISSUED BY: NATIONAL GUARD BUREAU | ISSUED TO: THE STATE OF NEW YORK

This modification is issued pursuant to the Authorities, 31 U.S.C. Chapter 63; MCA and Appendices Article VII, Sect 703; MCCA Article XII, Sect 1201 of the basic cooperative agreements. Mark purpose and obtain signatures as appropriate IAW NGR 5-1, Chapter 3.

- a. FUNDING
- b. TERMS AND CONDITIONS
- c. TERMINATION
- d. OTHER ADMINISTRATIVE

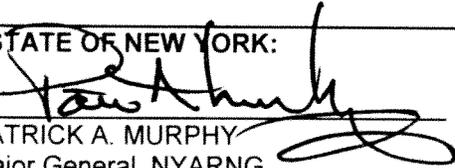
Action	Funding Source	From	Change	To
FED	Allotment 100% - QPSM		\$509,200.00	\$ 509,200.00
	Allotment 100% - VIPP	\$ -	\$0.00	\$ -
	TOTAL ALLOTMENT	\$ -	\$509,200.00	\$ 509,200.00
IKA	IKA Federal 100%		\$0.00	
FED	AFP 100% - QPSM	\$ 1,291,668.00	\$631,332.00	\$ 1,923,000.00
	AFP 100% - VIPP	\$ -	\$0.00	
	TOTAL AFP	\$ 1,291,668.00	\$631,332.00	\$ 1,923,000.00

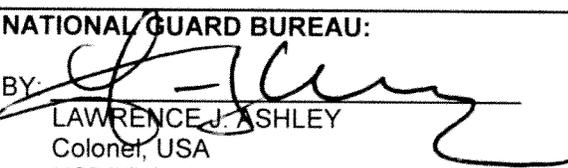
DESCRIPTION OF MODIFICATION:

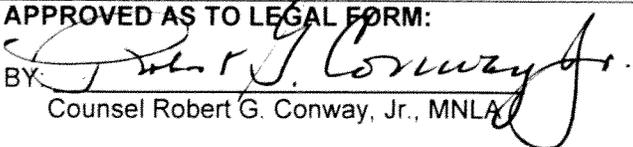
- JUSTIFICATION: Upward Obligation for Appendix 1003, ARNG Security Guard Activities for Fiscal Year 2016.
- SUMMARY: Adjust AFP to reflect actual NGB program funding vs. opening estimate; Add Allotment per MNFE memo dated 15 Oct 15.
- ACTION: TAG signature and review of the ARNG Security Guard Activities Appendix 1003 MOD 2. Return signed modification to MNPF-EA, Room 207, Cooperative Agreements, x4775/4709.
- This Appendix is subject to the availability of funds.
- Replace pages 6-7 of Appendix 1003, FY 16 with updated attachment.
- As FY2016 budgets are approved by NGB, program managers will add funding to the Appendix by separate modification.

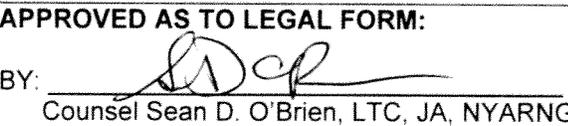
EXECUTION

Except as provided herein, all terms and conditions of the Cooperative Agreement and/or Appendix remain unchanged in full force and effect.
 IN WITNESS WHEREOF, the parties by their authorized representatives execute this Cooperative Agreement Modification.

THE STATE OF NEW YORK:
 BY: 
 PATRICK A. MURPHY
 Major General, NYARNG
 The Adjutant General
 Date: 13 Jan 16

NATIONAL GUARD BUREAU:
 BY: 
 LAWRENCE J. ASHLEY
 Colonel, USA
 USPFO for New York
 Date: 14 JAN 16

APPROVED AS TO LEGAL FORM:
 BY: 
 Counsel Robert G. Conway, Jr., MNLA
 Date: 18 Dec 2015

APPROVED AS TO LEGAL FORM:
 BY: 
 Counsel Sean D. O'Brien, LTC, JA, NYARNG
 Date: 18 Dec 2015

Section 309. Funding Limitations.

a. **Approved Budget/Annual Funding Program (AFP):** The total dollar amount that NGB anticipates, subject to the availability of funds, being available for reimbursement to the Grantee for its costs in fulfilling its responsibilities under this Appendix. This amount may be increased or decreased by NGB during the fiscal year.

b. **Total Dollars Obligated:** The total amount of funds obligated for NGB's share under this Appendix. Only funds obligated through an executed CA modification to this Appendix are available for reimbursement to the Grantee. Funds shall be obligated as received by the CA PM.

1. Fiscal Year 2016:	Approved Budget (AFP) \$1,923,000.00	Total Dollars Obligated \$ 509,200.00
2. Fiscal Year _____:	Approved Budget (AFP) \$ _____	Total Dollars Obligated \$ _____
3. Fiscal Year _____:	Approved Budget (AFP) \$ _____	Total Dollars Obligated \$ _____
4. Fiscal Year _____:	Approved Budget (AFP) \$ _____	Total Dollars Obligated \$ _____
5. Fiscal Year _____:	Approved Budget (AFP) \$ _____	Total Dollars Obligated \$ _____

Section 310. Agreement Particulars.

The information below shall be recorded by the Grants Officer's Representative (GOR) for compliance with the reporting requirements of the DoD Assistance Award Action Report System (DAADS) and the Federal Funding Accountability and Transparency Act of 2006.

- a. Grantee/Recipient Category: Government
- b. Grantee/Recipient Type: State Government
- c. Grantee/Recipient DUNS: 044776024
- d. Primary Place of Performance (if different from 'Issued To' on CA Modification Form): New York, 12110-3514
(To include Zip + 4)
- e. Grantee/Recipient County (Primary Place of Performance): Albany
- f. Grantee/Recipient Congressional District (Primary Place of Performance): 36
- g. Major Agency: DOD
- h. Agency Code: 2100
- i. Funding Agency: Army
- j. Program Source Agency: 21
- k. Transaction Type: Cooperative Agreement
- l. CFDA: 12.401
- m. CFDA Program Title: Operation and Maintenance, Army National Guard
- n. Program Source Account-Funding: 2065
- o. Treasury Appropriation Code: 2065
- p. Award/Obligation/Action Date: 1 Oct 2015
- q. Starting Date: 1 Oct 2015
- r. Ending Date: 30 Sep 2016
- s. Record Type: Individual Action
- t. Fiscal Year/Quarter: 2016/1st Qtr

u. Unique Federal Award Identification Number (FAIN)	W912PQ-16-2-1003
v. Approved Budget Amount:	\$1,923,000.00
w. R&D Award (Yes or No)	No
x. Indirect Cost Rate or CPP Rate:	\$ 2,331.00