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COOPERATIVE AGREEMENT MODIFICATION

Agreement/Appendix Title and Number: W912PQ-14-2-1004
Project Name/Description and Location: ARNG Electronic Security System
Modification Number: P00004 Installation, Operation and Maintenance

ISSUED BY:
NATIONAL GUARD BUREAU

ISSUED TO:
THE STATE OF NEW YORK

This modification is issued pursuant to the Authorities, 31 U.S.C. Chapter 63; MCA and Appendices Article VII, Sect 703; MCCA Article XII, Sect 1201 of the basic cooperative agreements. Mark purpose and obtain signatures as appropriate IAW NGR 5-1, Chapter 3.

- a. FUNDING
- b. TERMS AND CONDITIONS
- c. TERMINATION
- d. OTHER ADMINISTRATIVE

DESCRIPTION OF MODIFICATION:
1. JUSTIFICATION: Increase Federal MFL and AFP

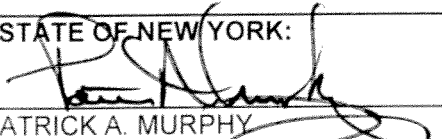
Action	Funding Source	From	Change	To
FED	Allotment 100% - QPSM	\$ 220,000.00	\$ 254,405.00	\$ 474,405.00
FED	AFP 100% - QPSM	\$ 303,090.00	\$ 171,315.00	\$ 474,405.00

2. ACTION: Approve and sign modification of the ARNG Electronic Security System Cooperative Agreement to increase federal MFL and AFP.
3. In-Kind Assistance remains unchanged at \$0.00.
4. Replace page 6 of Appendix 1004, FY14 with updated attachment.
5. See MNFE-CFMO memos dated 21April2014 and 28April2014.
6. This Appendix is subject to the availability of funds.
7. As FY2014 budgets are approved by NGB, program managers will add funding to the Appendix by separate modification and is subject to the availability of funds.

EXECUTION

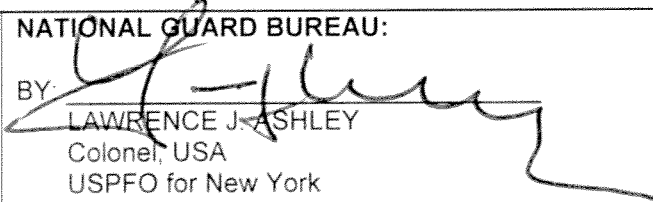
Except as provided herein, all terms and conditions of the Cooperative Agreement and/or Appendix remain unchanged in full force and effect.
IN WITNESS WHEREOF, the parties by their authorized representatives execute this Cooperative Agreement Modification.

THE STATE OF NEW YORK:

BY: 
 PATRICK A. MURPHY
 Major General, NYARNG
 The Adjutant General

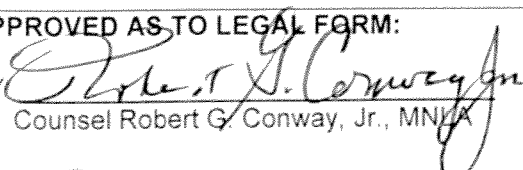
Date: 15 May 14

NATIONAL GUARD BUREAU:

BY: 
 LAWRENCE J. ASHLEY
 Colonel, USA
 USPFO for New York

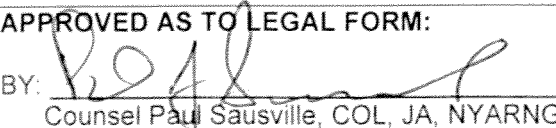
Date: 17 MAY 14

APPROVED AS TO LEGAL FORM:

BY: 
 Counsel Robert G. Conway, Jr., MNIA

Date: 9 May 2014

APPROVED AS TO LEGAL FORM:

BY: 
 Counsel Paul Sausville, COL, JA, NYARNG

Date: 9 May 2014

Davin, Renee M CW2 USARMY NG NYARNG (US)

From: Davin, Renee M CW2 USARMY NG NYARNG (US)
Date: Tuesday, May 20, 2014 10:41 AM
To: Conte, Paul R LTC USARMY NG NYARNG (US)
Cc: Halabuda, Thomas NFG NG NYARNG (US); Perez, Jose F 1LT USARMY NG NYARNG (US); Roeske, Trenton K 1LT USARMY NG NYARNG (US); Clark, Chad J CPT USARMY NG NYARNG (US); Richardson, Nicole SGT USARMY NG NYARNG (US); Phillips, Kathleen M NFG NG NYARNG (US); Brousseau, Dennis L CIV NG NGB ARNG (US); 'mark.c.brown1.civ@mail.mil'; 'nicholas.d.long8.ngo@mail.mil'
Subject: (UNCLASSIFIED)
Attachments: MOD 4 APP 1003 FY 14.pdf; MOD 5 APP 1007 FY 14.pdf; MOD 4 APP 1004 FY 14.pdf
Signed By: renee.davin@us.army.mil

Classification: UNCLASSIFIED
Caveats: NONE

Sir,

Attached are the most recent modifications for the appendices under your control for FY 14.

Chief

Renee M. Davin

CW2, AG, NYARNG

Cooperative Agreement Program Analyst

(518) 786-4709

renee.m.davin.mil@mail.mil

Classification: UNCLASSIFIED
Caveats: NONE

MEMORANDUM FOR MNPF, CW2 Davin

SUBJECT: Modification of Master Cooperative Funding Agreement (CFA),
W912PQ-14-2-1004, Appendix #4 (IDS Program)

1. Request that a modification be completed for Appendix #4 to amend the AFP and allotment. Request that \$206,415 in AFP and Allotment be transferred from Appendix #3, QPSM to this Appendix #4. Last modification reflected \$303,090 in AFP and allotment. After adding \$206,415 in AFP and Allotment, the new total is \$509,505 in AFP and allotment. Also request to transfer \$22,800 in AFP and allotment to MNAS for IDS monitoring services. Also request to transfer 12,300 in AFP and allotment to MNAS for cell phone costs for IDS personnel and IDS cell backup. After completing these transfers, the new AFP and Allotment total is: 474,405.00.

Section 306. Funding Limitation is changed as follows:

a. Funding.

		Allotment Max. Funding Limitation	AFP Estimated Cost
QPSM	Federal Share (100%)	\$ 474,405.00	\$ 474,405.00
TOTAL		\$ 474,405.00	\$ 474,405.00

b. In-Kind Assistance.

Within the federal share indicated above \$0.00 is reserved and designated specifically for In-Kind Assistance (IKA) through federal procurement procedures. The use of IKA shall be at the request of The Adjutant General as approved by the USP&FO. ARNG-ARI approval is not necessary.

2. This appendix is subject to the availability of funds.

3. The point of contact for this matter is SGT Nicole Richardson, who may be reached at extension 6117.



PAUL R. CONTE
LTC, FA, NYARNG
Program Director (CFMO)

CF:
MNBF, Tom Halabuda

c. Budget Reports.

(1) The CA PM shall reconcile budget amounts with ARNG-ILI-F ESS periodically, as of 31 December, 31 March, 30 June, 30 September, and 31 December. This report is due 30 days after the "as of" date".

(2) The State shall submit any other requested financial or budget report that ARNG-ILI-F ESS may require.

d. Budget Changes.

(1) The CA PM must submit a written request through the Grants Officer/USPFO to ARNG-ILI-F ESS PM, to decrease or increase funds in their ESS budget.

(2) The request shall include an updated budget reconciliation report as an enclosure. The request will not be binding until the document receives the approval from the ARNG-ILI-F ESS PM; no reimbursement can take place until a CA modification to this Appendix has been executed.

Section 408. Appendix Administration.

Changes to the Appendix terms and conditions will be processed IAW NGR 5-1, Chapter 3

Section 409. Funding Limitation.

a. Approved Budget/Annual Funding Program (AFP): The total dollar amount that NGB anticipates, subject to the availability of funds, being available for reimbursement to the state for its costs in fulfilling its responsibilities under this Appendix. This amount may be increased or decreased by the ARNG-ILI-F ESS PM during the fiscal year.

b. Total Dollars Obligated: The total amount of funds obligated for NGB's share under this Appendix. Only funds obligated through an executed CA modification to this appendix are available for reimbursement to the state. Funds shall be obligated as received by the CA PM.

c. Accomplished as In-Kind Assistance: The total dollars expended through federal acquisition. This dollar amount may reflect anticipated In-Kind Assistance and be updated as IKA is approved, but as a minimum shall reflect the total dollars accomplished through In-Kind Assistance in support of this Appendix for each fiscal year as it occurs.

d. In accordance with Section 407 the following funding limitations are provided for each fiscal year as it occurs.

1. Fiscal Year 2010:	Approved Budget/(AFP) \$346,001.60 Accomplished as In-Kind Assistance \$0	Total Dollars Obligated \$346,001.60
2. Fiscal Year 2011:	Approved Budget/(AFP) \$443,106.62 Accomplished as In-Kind Assistance \$0	Total Dollars Obligated \$443,106.62
3. Fiscal Year 2012:	Approved Budget/(AFP) \$ 497,200.00 Accomplished as In-Kind Assistance \$0.00	Total Dollars Obligated \$497,200.00
4. Fiscal Year 2013:	Approved Budget/(AFP) \$471,100.00 Accomplished as In-Kind Assistance \$22,644.00	Total Dollars Obligated \$471,100.00

5. Fiscal Year 2014:	Approved Budget/(AFP) \$474,405.00	Total Dollars Obligated \$474,405.00
	Accomplished as In-Kind Assistance \$0	

Section 410. Agreement Particulars.

The information below shall be recorded by the Grants Officer's Representative (GOR) for compliance with the reporting requirements of the DoD Assistance Award Action Report System (DAADS) and the Federal Funding Accountability and Transparency Act of 2006.

a. Grantee/Recipient Category:	Government
b. Grantee/Recipient Type:	State Government
c. Grantee/Recipient DUNS:	044776024
d. Primary Place of Performance (if different from 'Issued To' on CA Modification Form):	
<hr/>	
(To include Zip + 4)	
e. Grantee/Recipient County (Primary Place of Performance):	36
f. Grantee/Recipient Congressional District (Primary Place of Performance):	Albany
g. Major Agency:	DOD
h. Agency Code:	2100
i. Funding Agency:	Army
j. Program Source Agency:	21
k. Transaction Type:	Cooperative Agreement
l. CFDA:	12.401
m. CFDA Program Title:	Operation and Maintenance, Army National Guard
n. Program Source Account-Funding:	2065
o. Treasury Appropriation Code:	2065
p. Award/Obligation/Action Date:	1Oct2013
q. Starting Date:	1Oct2013
r. Ending Date:	30Sept2014
s. Record Type:	Individual Action
t. Fiscal Year/Quarter:	2014/1st Qtr

SOF by Stat
Object:
Command Non-
Cum Report

Last Data Refreshed
 From: ECC

04/24/2014 12:30:16

Report Run Time

04/24/2014 13:02:41

Current User

Richardson, Nicole

Variables

- 206510014
 Operation &
 Maintenance
- Army National G
- 206511014
 Operation &
 Maintenance
- Army National G
- ARMYNA18TX
 NYConstruction
 and Facility
 Management

Fund

Funds Center

Fund	Funds Center	MCEP	Fiscal Year	Current AFP	Allocation	Commitments (Non Cum)	Obligations (Non Cum)	Expenses (Non Cum)	Disbursements (Non Cum)	Available Budget (AFP)	Available Budget (Allocation)
206510014	A181X	EMPS	2014			0.00	786,500.00	0.00	0.00	-786,500.00	-786,500.00
206510014	A181X	BR11	2014			0.00	1,079,308.86	0.00	28,391.14	-1,108,300.00	-1,108,300.00
206510014	A181X	QOEM	2014			0.00	500,000.00	0.00	0.00	-500,000.00	-500,000.00
206510014	A181X	QOIV	2014			149,813.47	4,319,464.93	4,993.85	197,471.07	-4,871,743.32	4,671,743.32
206510014	A181X	COG	2014			0.00	700.75	0.00	0.00	-700.75	-700.75
206510014	A181X	QOEM	2014			0.00	2,092,900.00	0.00	0.00	-2,092,900.00	-2,092,900.00
206510014	A181X	QOFA	2014			705,974.43	9,141,585.24	0.00	204,845.00	-10,052,404.67	-10,052,404.67
206510014	A181X	TATM	2014			0.00	692,000.00	0.00	0.00	-692,000.00	-692,000.00
206510014	A181X	VERO	2014			103,000.00	575,000.00	0.00	0.00	-682,000.00	-682,000.00
206510014	A181X	VECH	2014			0.00	439,204.04	0.00	0.00	-439,204.04	-439,204.04
206510014	A181X	#	2014	26,259,700.00	21,555,000.00					26,259,700.00	21,555,000.00
206511014	A181X	VFRE	2014	898,000.00	728,700.00	0.00	728,700.00	0.00	0.00	-728,700.00	-728,700.00
206511014	A181X	#	2014	898,000.00	728,700.00					898,000.00	728,700.00

MEMORANDUM FOR MNPF, CW2 Davin

SUBJECT: Modification of Master Cooperative Funding Agreement (CFA),
W912PQ-14-2-1004, Appendix #4 (IDS Program)

1. Request that a modification be completed for Appendix #4 to amend the allotment. \$83,090 in third quarter allotment was added to this Appendix. Last modification reflected \$220,000 in allotment. After adding \$83,090 in allotment, the new allotment total is \$303,090.

Section 306. Funding Limitation is changed as follows:

a. Funding.

	Allotment Max. Funding Limitation	AFP Estimated Cost
QPSM Federal Share (100%)	\$ 303,090.00	\$ 303,090.00
TOTAL	\$ 303,090.00	\$ 303,090.00

b. In-Kind Assistance.

Within the federal share indicated above \$0.00 is reserved and designated specifically for In-Kind Assistance (IKA) through federal procurement procedures. The use of IKA shall be at the request of The Adjutant General as approved by the USP&FO. ARNG-ARI approval is not necessary.

2. This appendix is subject to the availability of funds.

3. The point of contact for this matter is SGT Nicole Richardson, who may be reached at extension 6117.



PAUL R. CONTE
LTC, FA, NYARNG
Program Director (CFMO)

CF:
MNBF, Tom Halabuda

Object:
Command Non-

from ECC

04/24/2014 12:30:16

Report Run Time

04/24/2014 13:05:41

Current User

Richardson, Nicole

Variables

Operation &
Maintenance,
Army National G.
NYConstruction
and Facility

Fund

Funds Center

Fund	Funds Center	MCEP	Fiscal Year	Current AFP	Allocation	Commitments (Non-Cum)	Obligations (Non-Cum)	Expenses (Non-Cum)	Disbursements (Non-Cum)	Available Budget (AFP)	Available Budget (Alignment)
206510D14	A181X	NYConstruction and Facility Management	EAFF 2014			0.00	786,500.00	0.00	0.00	-786,500.00	-786,500.00
206510D14	A181X	NYConstruction and Facility Management	ERVT 2014			0.00	1,079,928.85	0.00	26,391.14	-1,108,300.00	-1,108,300.00
206510D14	A181X	NYConstruction and Facility Management	QDEM 2014			0.00	500,000.00	0.00	0.00	-500,000.00	-500,000.00
206510D14	A181X	NYConstruction and Facility Management	QDPW 2014			148,813.47	4,318,464.93	4,993.85	197,471.07	-4,671,743.32	-4,671,743.32
206510D14	A181X	NYConstruction and Facility Management	QLOG 2014			0.00	700.75	0.00	0.00	700.75	700.75
206510D14	A181X	NYConstruction and Facility Management	QPSM 2014			0.00	2,092,900.00	0.00	0.00	-2,092,900.00	-2,092,900.00
206510D14	A181X	NYConstruction and Facility Management	GRFA 2014			705,974.43	9,141,595.24	0.00	294,845.00	-10,052,404.67	-10,052,404.67
206510D14	A181X	NYConstruction and Facility Management	TATM 2014			0.00	682,000.00	0.00	0.00	-682,000.00	-682,000.00
206510D14	A181X	NYConstruction and Facility Management	VDHQ 2014			103,000.00	575,000.00	0.00	0.00	-682,000.00	-682,000.00
206510D14	A181X	NYConstruction and Facility Management	VSDW 2014			0.00	439,204.04	0.00	0.00	-439,204.04	-439,204.04
206510D14	A181X	NYConstruction and Facility Management	VTRB 2014			26,259,700.00	21,555,000.00	0.00	0.00	26,259,700.00	21,555,000.00
206510D14	A181X	NYConstruction and Facility Management	VTRB 2014			0.00	728,700.00	0.00	0.00	-728,700.00	-728,700.00
206510D14	A181X	NYConstruction and Facility Management	2014			696,000.00	728,700.00	0.00	0.00	898,000.00	728,700.00

MNPF

FY 14
APP 1004
MOD 4
05 May 2014

MEMORANDUM FOR MNBF

SUBJECT: Funding Modification to Master Cooperative Agreements ARNG Appendix 1003 FY 14, ARNG Appendix 1004 FY 14 and ARNG Appendix 1040 FY 14

1. Reference, NGR 5-1/ANGI, 63-101, Chapter 3, Section 3-11, b. (1), dated 28 May 2010.

2. JUSTIFICATION:

a. MOD 4 Appendix 1003 FY 14: Adjustment to Allotment and AFP for Third and Fourth Quarter

b. MOD 4 Appendix 1004 FY 14: Increase Federal MEL and AFP

c. MOD 4 Appendix 1040 FY 14: Request the use of IKA to purchase Xerox multi-functional Printer/Copier/Scanner

3. Attached for your action, review and signature are ARNG MOD 4 APP 1003 FY14, ARNG MOD 4 APP 1004 FY 14 and ARNG MOD 4 APP 1040 FY14.

4. Upon completion of Legal and TAG signatures, contact MNPF, ATTN: CW2 Renee Davin, at Commercial (518)786-4709, DSN 489-4709 or e-mail renee.m.davin.mil@mail.mil for pick-up.

FOR THE CHIEF, NATIONAL GUARD BUREAU:

Encl
FY 14 APP 1003 MOD 4
FY 14 APP 1004 MOD 4
FY 14 APP 1040 MOD 4

for *Renee M. Davin CW2*
LAWRENCE J. ASHLEY
Colonel, USA
USP&FO for New York

COVER SHEET

(Prescribing Directive is DMNA Reg 1-1, Proponent Office is MNAG)

SUBJECT: Y 14 Modification for the ARNG Electronic Security System Appendix (Mod #4)	OFFICE SYMBOL: MNBF
ACTION REQUIRED: TAG Signature	DATE: 5/8/2014

MEMORANDUM FOR RECORD. (Describe briefly the requirement, background and action taken or recommended. Description must be sufficiently detailed to identify action without recourse to other sources.) (Continue Memorandum or Coordination on reverse as needed.)

1. ACTION: The following actions are requested:

Action	Funding Source	From	Change	To
1a.	Fed Maximum Fund Limitation (Allotment)100%	\$ 220,000.00	\$ 254,405.00	\$ 474,405
1b.	Fed Estimated Cost (AFP) 100% Federal	\$ 303,090.00	\$ 171,315.00	\$ 474,405

2. SUMMARY: The program provides 100% Federal funding to support for both staff and non-personal services for the maintenance and repair of the Security System at Army NYS Facilities.

1a. This modification increases the Federal allotment by \$254,405 for the 3rd quarter of the 2014 Federal Fiscal Year.

1b. This modification increases the 2014 Electronic Security System AFP by \$171,315. The net increase of \$171,315 reflects a transfer-in of \$206,415 from the 2014 Army Security Agreement and transfer-out of \$35,100 to MNAS for phone services to the State's IDS monitoring systems and cell phone expenses for IDS staff.

3. RECOMMENDATION: Approve modification of the ARNG Electronic Security System Appendix and return to MNBF (Mr. Halabuda) for further processing and signature by the USP&FO.

4. For any questions regarding this 1077 please contact Mr. Tom Halabuda at 786-4538.

COORDINATIONS				ADMIN REVIEW		
OFFICE	NAME	DATE	(NON) CONCUR		INITIALS	DATE
MNLA	COL Sausville <i>RS</i>	9 May 14	<input type="checkbox"/> N <input checked="" type="checkbox"/> C	INITIAL REVIEW	<i>MSL</i>	9 May 14
MNLA	Mr. Conway <i>RC</i>	9 May 14	<input type="checkbox"/> N <input checked="" type="checkbox"/> C	FINAL REVIEW		
OTAG	LTC Slusar <i>MS</i>	13 May 14	<input type="checkbox"/> N <input checked="" type="checkbox"/> C	APPROVALS		
			<input type="checkbox"/> N <input type="checkbox"/> C	MNAG-DJS		
			<input type="checkbox"/> N <input type="checkbox"/> C	MNAG-SAS	<i>MSL</i>	14 May 14
			<input type="checkbox"/> N <input type="checkbox"/> C	MNAG-COS	<i>B</i>	14 May 14
			<input type="checkbox"/> N <input type="checkbox"/> C	MNAG-DAG	<i>MS</i>	15 May 14
			<input type="checkbox"/> N <input type="checkbox"/> C	MNAG-TAG		
			<input type="checkbox"/> N <input type="checkbox"/> C	FILE		
			<input type="checkbox"/> N <input type="checkbox"/> C			DISPATCH

APPROVING OFFICER (Name, grade, phone and signature)

Robert A. Martin, Director of Management and Budget, #4514 *Robert A. Martin* MAY 08 2014

DMNA FORM 1077-E (29 AUG 07) Previous editions of this form are obsolete and will no longer be used.

ARMY MODIFICATIONS

FY 14
APP 1054
MOD 4

****Note: DO NOT PREPARE A MOD WITHOUT FUND VERIFICATION****

1. Program Manager sends Request for Modification TO GOR
→ **MUST HAVE FUND VERIFICATION**
2. *!!!!Date Stamp Request for Modification!!!!*
3. Check against last modification (if applicable) Check Binder & suspense Folder.
4. MAKE SURE #'s MAKE SENSE
5. If IKA is being used, check against previous MOD for increase/decrease; prepare letter to contracting for approval; make sure justification is sufficient
6. Verify that the correct **Agreement No.** is being used
7. Verify that the correct **Modification No.** is being used
8. Create **MCA Modification form** (see attached)
9. Create funding limitation page in Appendix (see attached)
10. Create letter for USPFO's signature for routing to MNBF (Tom Halabuda)
11. Put "sign me" stickers on areas requiring signatures
12. The letter gets filed in our suspense folder for that particular Appendix
→ Note in Calendar: Person sent to and Date sent. Set for 1 week follow up reminder
→ **put on aging report and update tasking for every 2 week follow up**
13. MNBF returns Original copy to GOR
14. Pull copy from suspense folder
15. IKA list (signed copy) goes to contracting
16. Go back to the funding limitation page in the Appendix, update all applicable FY #'s
17. Update XLS sheet with new AFP/ALLOTMENT