

# COOPERATIVE AGREEMENT MODIFICATION

Agreement/Appendix Title and Number: W912PQ-14-2-1004

Project Name/Description and Location: ARNG Electronic Security Systems (ESS) Management, Installation, Operation and Maintenance (MIO&M)

Modification Number: P00006 CLOSE

ISSUED BY:

NATIONAL GUARD BUREAU

ISSUED TO:

THE STATE OF NEW YORK

This modification is issued pursuant to the Authorities, 31 U.S.C. Chapter 63; MCA and Appendices Article VII, Sect 703; MCCA Article XII, Sect 1201 of the basic cooperative agreements. Mark purpose and obtain signatures as appropriate IAW NGR 5-1, Chapter 3.

a. FUNDING

b. TERMS AND CONDITIONS

c. TERMINATION

d. OTHER ADMINISTRATIVE CLOSE APP 1004 for FY 14

Action	Funding Source	From	Change	To
FED	Allotment 100% - QPSM	\$ 497,205.00	(43,020.48)	\$ 454,184.52
FED	AFP 100% - QPSM	\$ 497,205.00	(43,020.48)	\$ 454,184.52
FED	IKA	\$20,000.00	(2,900.00)	\$17,100.00

### DESCRIPTION OF MODIFICATION:

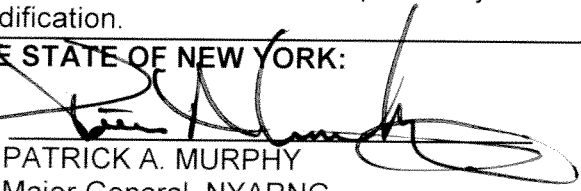
1. JUSTIFICATION: Final reconciliation to CLOSE APP 1004 for FY 14
2. ACTION: TAG review, approve and sign modification of ARNG ESS MIO&M. Return to Room 207, Cooperative Agreements, x4775/4709.
3. In-Kind Assistance is decreased to \$17,100.00
4. Replace page 6 of Appendix 1004, FY14 with updated attachment.
5. See MNFE-CFMO memos dated 2 October 15
6. A total of \$497,205.00 was received in AFP and Allotment for APP 1004 for FY 14. A total of \$454,184.52 was executed of which \$17,100.00 was In-Kind Assistance, reducing IKA by \$2,900.00. A total of \$43,020.48 was de-obligated, thereby officially CLOSING Appendix 1004 for FY 14.

### EXECUTION

Except as provided herein, all terms and conditions of the Cooperative Agreement and/or Appendix remain unchanged in full force and effect.

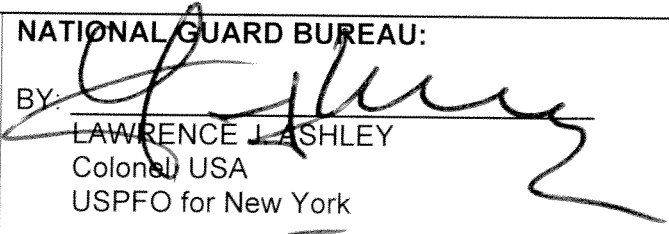
IN WITNESS WHEREOF, the parties by their authorized representatives execute this Cooperative Agreement Modification.

THE STATE OF NEW YORK:

BY:   
PATRICK A. MURPHY  
Major General, NYARNG  
The Adjutant General

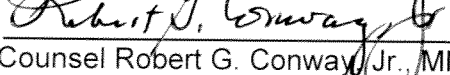
Date: 9 Nov 15

NATIONAL GUARD BUREAU:

BY:   
LAWRENCE J. ASHLEY  
Colonel USA  
USPFO for New York


Date: 10 Nov 15

APPROVED AS TO LEGAL FORM:

BY:   
Counsel Robert G. Conway Jr., MNLA

Date: 23 Oct 2015

APPROVED AS TO LEGAL FORM:

BY:   
Counsel Sean D. O'Brien, MAJ, JA, NYARNG  
LTC

Date: 23 Oct 2015

c. Budget Reports.

(1) The CA PM shall reconcile budget amounts with ARNG-ILI-F ESS periodically, as of 31 December, 31 March, 30 June, 30 September, and 31 December. This report is due 30 days after the "as of" date".

(2) The State shall submit any other requested financial or budget report that ARNG-ILI-F ESS may require.

d. Budget Changes.

(1) The CA PM must submit a written request through the Grants Officer/USPFO to ARNG-ILI-F ESS PM, to decrease or increase funds in their ESS budget.

(2) The request shall include an updated budget reconciliation report as an enclosure. The request will not be binding until the document receives the approval from the ARNG-ILI-F ESS PM; no reimbursement can take place until a CA modification to this Appendix has been executed.

**Section 408. Appendix Administration.**

Changes to the Appendix terms and conditions will be processed IAW NGR 5-1, Chapter 3

**Section 409. Funding Limitation.**

a. Approved Budget/Annual Funding Program (AFP): The total dollar amount that NGB anticipates, subject to the availability of funds, being available for reimbursement to the state for its costs in fulfilling its responsibilities under this Appendix. This amount may be increased or decreased by the ARNG-ILI-F ESS PM during the fiscal year.

b. Total Dollars Obligated: The total amount of funds obligated for NGB's share under this Appendix. Only funds obligated through an executed CA modification to this appendix are available for reimbursement to the state. Funds shall be obligated as received by the CA PM.

c. Accomplished as In-Kind Assistance: The total dollars expended through federal acquisition. This dollar amount may reflect anticipated In-Kind Assistance and be updated as IKA is approved, but as a minimum shall reflect the total dollars accomplished through In-Kind Assistance in support of this Appendix for each fiscal year as it occurs.

d. In accordance with Section 407 the following funding limitations are provided for each fiscal year as it occurs.

1. Fiscal Year 2010:	Approved Budget/(AFP) \$346,001.60	Total Dollars Obligated \$346,001.60
	Accomplished as In-Kind Assistance \$0	
2. Fiscal Year 2011:	Approved Budget/(AFP) \$443,106.62	Total Dollars Obligated \$443,106.62
	Accomplished as In-Kind Assistance \$0	
3. Fiscal Year 2012:	Approved Budget/(AFP) \$ 479,770.42	Total Dollars Obligated \$479,770.42
	Accomplished as In-Kind Assistance \$0.00	
4. Fiscal Year 2013:	Approved Budget/(AFP) \$485,839.87	Total Dollars Obligated \$485,839.87
	Accomplished as In-Kind Assistance \$24,373.13	

5. Fiscal Year 2014:	Approved Budget/(AFP)	Total Dollars Obligated
	\$454,184.52	\$454,184.52
	Accomplished as In-Kind Assistance \$17,100.00	

**Section 410. Agreement Particulars.**

The information below shall be recorded by the Grants Officer's Representative (GOR) for compliance with the reporting requirements of the DoD Assistance Award Action Report System (DAADS) and the Federal Funding Accountability and Transparency Act of 2006.

a. Grantee/Recipient Category:	Government
b. Grantee/Recipient Type:	State Government
c. Grantee/Recipient DUNS:	044776024
d. Primary Place of Performance (if different from 'Issued To' on CA Modification Form):	
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(To include Zip + 4)	
e. Grantee/Recipient County (Primary Place of Performance):	36
f. Grantee/Recipient Congressional District (Primary Place of Performance):	Albany
g. Major Agency:	DOD
h. Agency Code:	2100
i. Funding Agency:	Army
j. Program Source Agency:	21
k. Transaction Type:	Cooperative Agreement
l. CFDA:	12.401
m. CFDA Program Title:	Operation and Maintenance, Army National Guard
n. Program Source Account-Funding:	2065
o. Treasury Appropriation Code:	2065
p. Award/Obligation/Action Date:	1Oct2013
q. Starting Date:	1Oct2013
r. Ending Date:	30Sept2014
s. Record Type:	Individual Action
t. Fiscal Year/Quarter:	2014/1st Qtr

MEMORANDUM FOR MNPF, CW2 Davin

SUBJECT: Modification of Master Cooperative Funding Agreement (CFA), W912PQ-14-2-1004, Appendix #4 (IDS Program)

1. A total of \$497,205.00 was received in AFP and Allotment for Appendix #4 for FY14. A total of \$454,184.52 was executed of which \$17,100.00 was In-Kind Assistance reducing In-Kind Assistance by \$2,900.00. A total of \$43,020.48 was deobligated thereby officially **closing** Appendix #4 for Fiscal Year 14.

Section 306. Funding Limitation is changed as follows:

a. Funding.

	Allotment Max. Funding Limitation	AFP Estimated Cost
QPSM Federal Share (100%)	\$ 454,184.52	\$ 454,184.52
TOTAL	\$ 454,184.52	\$ 454,184.52

b. In-Kind Assistance.

Within the federal share indicated above \$17,100.00 is reserved and designated specifically for In-Kind Assistance (IKA) through federal procurement procedures. The use of IKA shall be at the request of The Adjutant General as approved by the USP&FO. ARNG-ARI approval is not necessary.

2. The point of contact for this matter is SGT Nicole Richardson, who may be reached at extension 6117.

D. SCOTT CLEAVELAND  
LTC, EN, NYARNG  
Program Director (CFMO)

CF:  
MNBF, Liz Halpin