

# COOPERATIVE AGREEMENT MODIFICATION

Agreement/Appendix Title and Number: W912PQ-16-2-1004  
 Project Name/Description and Location: ARNG Electronic Security (ESS) Management, Installation, Operation and Maintenance (MIO&M)  
 Modification Number: P0002

|   |   |
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| ISSUED BY:<br><br>NATIONAL GUARD BUREAU | ISSUED TO:<br><br>THE STATE OF NEW YORK |
|---|---|

This modification is issued pursuant to the Authorities, 31 U.S.C. Chapter 63; MCA and Appendices Article VII, Sect 703; MCCA Article XII, Sect 1201 of the basic cooperative agreements. Mark purpose and obtain signatures as appropriate IAW NGR 5-1, Chapter 3.

- a. FUNDING
- b. TERMS AND CONDITIONS
- c. TERMINATION
- d. OTHER ADMINISTRATIVE

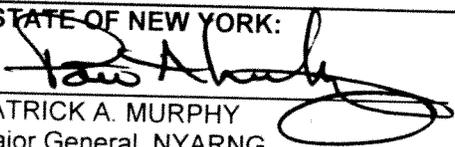
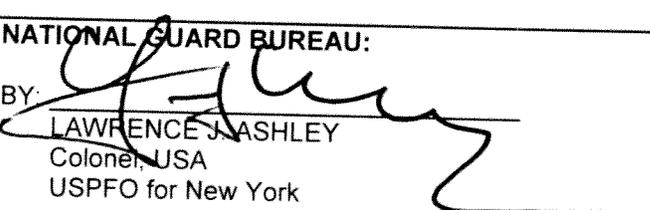
| Action | Funding Source | From          | Change       | To            |
|--------|----------------|---------------|--------------|---------------|
| FED    | Allotment      |               | 396,000.00   | \$ 396,000.00 |
| FED    | AFP            | \$ 496,200.00 | (100,200.00) | \$ 396,000.00 |
| FED    | IKA            |               | 34,200.00    | \$34,200.00   |

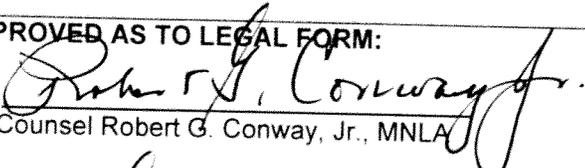
**DESCRIPTION OF MODIFICATION:**

1. JUSTIFICATION: Upward Obligation for Appendix 1004, ARNG Electronic Security (ESS) Management, Installation, Operation and Maintenance (MIO&M) for Fiscal Year 2016; Reserve In-Kind Assistance.
2. SUMMARY: Adjust AFP to reflect actual NGB program funding vs. opening estimate; Add Allotment; Reserve IKA per MNFE memo dated 15 Oct 15.
3. ACTION: TAG signature and review of the ARNG MIO&M Appendix 1004 MOD 2. Return signed modification to MNPF-EA, Room 207, Cooperative Agreements, x4775/4709.
4. This Appendix is subject to the availability of funds.
5. Replace pages 11-12 of Appendix 1004, FY 16 with updated attachment.
6. As FY2016 budgets are approved by NGB, program managers will add funding to the Appendix by separate modification.

**EXECUTION**

Except as provided herein, all terms and conditions of the Cooperative Agreement and/or Appendix remain unchanged in full force and effect.  
 IN WITNESS WHEREOF, the parties by their authorized representatives execute this Cooperative Agreement Modification.

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|---|--|
| <p><b>THE STATE OF NEW YORK:</b></p> <p>BY: <br/>                 PATRICK A. MURPHY<br/>                 Major General, NYARNG<br/>                 The Adjutant General</p> <p>Date: <u>13 Jan 16</u></p> | <p><b>NATIONAL GUARD BUREAU:</b></p> <p>BY: <br/>                 LAWRENCE J. ASHLEY<br/>                 Colonel, USA<br/>                 USPFO for New York</p> <p>Date: <u>14 Jan 16</u></p> |
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|  |   |
|--|---|
| <p><b>APPROVED AS TO LEGAL FORM:</b></p> <p>BY: <br/>                 Counsel Robert G. Conway, Jr., MNLA</p> <p>Date: <u>18 Dec 2015</u></p> | <p><b>APPROVED AS TO LEGAL FORM:</b></p> <p>BY: <br/>                 Counsel Sean D. O'Brien, LTC, JA, NYARNG</p> <p>Date: <u>18 Dec 2015</u></p> |
|--|---|

**Section 409. Funding Limitation.**

a. **Approved Budget/Annual Funding Program (AFP):** The total dollar amount that NGB anticipates, subject to the availability of funds, being available for reimbursement to the state for its costs in fulfilling its responsibilities under this Appendix. This amount may be increased or decreased by the ARNG-ILI-F ESS PM during the fiscal year.

b. **Total Dollars Obligated:** The total amount of funds obligated for NGB's share under this Appendix. Only funds obligated through an executed CA modification to this appendix are available for reimbursement to the state. Funds shall be obligated as received by the CA PM.

c. **Accomplished as In-Kind Assistance:** The total dollars expended through federal acquisition. This dollar amount may reflect anticipated In-Kind Assistance and be updated as IKA is approved, but as a minimum shall reflect the total dollars accomplished through In-Kind Assistance in support of this Appendix for each fiscal year as it occurs.

d. In accordance with Section 407 the following funding limitations are provided for each fiscal year as it occurs.

|                              |  |   |
|------------------------------|--|---|
| 1. Fiscal Year <b>2016</b> : | Approved Budget/(AFP)<br>\$396,000.00<br>Accomplished as In-Kind Assistance \$ 34,200.00 | Total Dollars Obligated<br>\$396,000.00 |
| 2. Fiscal Year _____:        | Approved Budget/(AFP)<br>\$ _____<br>Accomplished as In-Kind Assistance \$ _____         | Total Dollars Obligated<br>\$ _____     |
| 3. Fiscal Year _____:        | Approved Budget/(AFP)<br>\$ _____<br>Accomplished as In-Kind Assistance \$ _____         | Total Dollars Obligated<br>\$ _____     |
| 4. Fiscal Year _____:        | Approved Budget/(AFP)<br>\$ _____<br>Accomplished as In-Kind Assistance \$ _____         | Total Dollars Obligated<br>\$ _____     |
| 5. Fiscal Year _____:        | Approved Budget/(AFP)<br>\$ _____<br>Accomplished as In-Kind Assistance \$ _____         | Total Dollars Obligated<br>\$ _____     |

**Section 410. Agreement Particulars.**

The information below shall be recorded by the Grants Officer's Representative (GOR) for compliance with the reporting requirements of the DoD Assistance Award Action Report System (DAADS) and the Federal Funding Accountability and Transparency Act of 2006.

|   |   |
|---|---|
| a. Grantee/Recipient Category:  | Government  |
| b. Grantee/Recipient Type:  | State Government                                  |
| c. Grantee/Recipient DUNS:  | 044776024   |
| d. Primary Place of Performance<br>(if different from 'Issued To' on CA Modification Form):<br>(To include Zip + 4) | New York, 12110-3514                              |
| e. Grantee/Recipient County<br>(Primary Place of Performance):  | Albany  |
| f. Grantee/Recipient Congressional District<br>(Primary Place of Performance):                                      | 36  |
| g. Major Agency:  | DOD   |
| h. Agency Code:   | 2100  |
| i. Funding Agency:  | Army  |
| j. Program Source Agency:   | 21  |
| k. Transaction Type:  | Cooperative Agreement                             |
| l. CFDA:  | 12.401  |
| m. CFDA Program Title:  | Operation and Maintenance,<br>Army National Guard |
| n. Program Source Account-Funding:  | 2065  |
| o. Treasury Appropriation Code:   | 2065  |
| p. Award/Obligation/Action Date:  | 1 Oct 2015  |
| q. Starting Date:   | 1 Oct 2015  |
| r. Ending Date:   | 30 Sep 2016                                       |
| s. Record Type:   | Individual Action                                 |
| t. Fiscal Year/Quarter:   | 2016/1 <sup>st</sup> Qtr                          |
| u. Unique Federal Award Identification Number (FAIN)  | W912PQ-16-2-1004                                  |
| v. Approved Budget Amount:  | \$396,000.00                                      |
| w. R&D Award (Yes or No)  | No  |
| x. Indirect Cost Rate or CPP Rate:  | \$ 1,159.00                                       |

MNFE-CFMO

15 October 2015

MEMORANDUM THRU MNPF, CW3 Davin

MEMORANDUM THRU MNAG-TAG, ATTN: MG Patrick A. Murphy

FOR MNPF, USPFO-NY, ATTN: COL Lawrence J. Ashley

SUBJECT: FY-16 IKA for Appendix #4 IDS Program

1. This memorandum is to request an increase in In Kind Assistance (IKA) to support the following:

IAW AR 190-11, 3-6, (a) 1-4, request Purchase Requisition to monitor all NYARNG Intrusion Detection Systems by a Central Monitoring Station. The State cannot provide this service due to timely execution.

2. The Master Cooperative Agreement (MCA), Appendix 4, currently reflects \$0.00 for IKA. Request an increase of IKA from \$0.00 to \$34,200.00 to support NYARNG Intrusion Detection System.

3. POC for this matter is SGT Nicole Richardson, who can be reached at extension 6117.

  
D. SCOTT CLEAVELAND  
LTC, EN, NYARNG  
Program Director (CFMO)

MNFE  
SUBJECT: FY-16 IKA for Appendix #4 ARNG Facilities Programs

MNAG-TAG

Concur/~~None~~ ~~Concur~~

12/20/16 [Signature]  
Date Signature The Adjutant General

USP&FO-NY

Concur Non-Concur

1/5/17 [Signature]  
Date Signature USP&FO-NY