

### COVER SHEET

(Prescribing Directive is DMNA Reg 1 1, Proponent Office is MNAG)

<b>SUBJECT:</b>	<b>OFFICE SYMBOL:</b>
FY 14 Modification for Telecommunications (C4IM), Appendix 1005 (MOD 5) CLOSING MODIFICATION	MNPF-EA
<b>ACTION REQUIRED:</b>	<b>DATE:</b>
TAG Signature	27-Sep-16

**MEMORANDUM FOR RECORD.** (Describe briefly the requirement, background and action taken or recommended. Description must be sufficiently detailed to identify action without recourse to other sources.) (Continue Memorandum or Coordination on reverse as needed.)

**1. JUSTIFICATION: CLOSE FY 14 APPENDIX 1005 TELECOMMUNICATIONS**

Action	Funding Source	From	Change	To
FED	Allotment (MFL) IKA	\$ 1,109,807.73	(3,091.09)	\$1,106,716.64
	Allotment (MFL) 90% (MCA)	\$ 1,673,351.29	(399,529.32)	\$ 1,273,821.97
	CPP	\$ 2,829.87	(822.14)	\$ 2,007.73
	<b>TOTAL FEDERAL MFL-QOIM (IKA and MCA)</b>	<b>\$ 2,785,988.89</b>	<b>(403,442.55)</b>	<b>\$2,382,546.34</b>
	Allotment (MFL) R&R Phones 100%	\$ 18,000.00	0.00	\$ 18,000.00
	<b>FEDERAL PROGRAM TOTAL MFL</b>	<b>\$ 2,803,988.89</b>	<b>(403,442.55)</b>	<b>\$2,400,546.34</b>
FED	AFP IKA	\$ 1,109,807.73	(3,091.09)	\$ 1,106,716.64
	AFP 90% (MCA)	\$ 1,673,351.29	(399,529.32)	\$ 1,273,821.97
	CPP	\$ 2,829.87	(822.14)	\$ 2,007.73
	<b>TOTAL FEDERAL AFP-QOIM (IKA nad MCA)</b>	<b>\$ 2,785,988.89</b>	<b>(403,442.55)</b>	<b>\$ 2,382,546.34</b>
	AFP R&R Phones 100%	\$ 18,000.00	0.00	\$ 18,000.00
	<b>FEDERAL PROGRAM TOTAL AFP</b>	<b>\$ 2,803,988.89</b>	<b>(403,442.55)</b>	<b>\$ 2,400,546.34</b>
STATE	Allotment (MFL) 10% IKA	\$ 123,311.92	(12,382.16)	\$ 110,929.76
	10% MCA	\$185,927.92	(32,289.65)	\$ 153,638.27
	<b>STATE PROGRAM TOTAL MFL</b>	<b>\$ 309,239.84</b>	<b>(44,671.81)</b>	<b>\$264,568.03</b>
STATE	AFP 10% IKA	\$123,311.92	(12,382.16)	\$110,929.76
	10% MCA	\$185,927.92	(32,289.65)	\$153,638.27
	<b>STATE PROGRAM TOTAL AFP</b>	<b>\$309,239.84</b>	<b>(44,671.81)</b>	<b>\$264,568.03</b>
IKA	PROGRAM TOTAL IKA	\$ 1,233,119.65	(15,473.25)	\$1,217,646.40
	<b>PROGRAM GRAND TOTAL MFL</b>	<b>\$ 3,113,228.73</b>	<b>(448,114.36)</b>	<b>\$ 2,665,114.37</b>
	<b>PROGRAM GRAND TOTAL AFP</b>	<b>\$ 3,113,228.73</b>	<b>(448,114.36)</b>	<b>\$ 2,665,114.37</b>

**2. SUMMARY:** A total of \$2,803,988.89 (including CPP and R&R) was allotted for Appendix 1005 for FY14. A total of \$1,109,807.73 was identified as In-Kind Assistance. A total of \$2,400,546.34 was executed, of which \$1,106,716.64 was utilized as IKA. FY 14 Total Federal Program was \$2,400,546.34, de-obligating \$403,442.55, thereby officially CLOSING APP 1005 for FY14.

**3. ACTION:** TAG review, sign and return modification to MNPF-EA, Room 207, Cooperative Agreements, x4775/4709.

COORDINATIONS				ADMIN REVIEW		
OFFICE	NAME	DATE	(NON) CONCUR		INITIALS	DATE
MNBF	Robert Martin <i>RM</i>	11/2/16	<input type="checkbox"/> N <input checked="" type="checkbox"/> C	INITIAL REVIEW	<i>LAM</i>	15 Nov 16
MNLA	LTC O'Brien <i>SDO</i>	5 Nov 2016	<input type="checkbox"/> N <input checked="" type="checkbox"/> C	FINAL REVIEW		
MNLA	Mr. Conway <i>MC</i>	7/26/16	<input type="checkbox"/> N <input checked="" type="checkbox"/> C	APPROVALS		
OTAG	LTC Smith <i>MAJ Fudermat</i>	22 Nov 16	<input type="checkbox"/> N <input type="checkbox"/> C	MNAG-DJS		
			<input type="checkbox"/> N <input type="checkbox"/> C	MNAG-SAS		
			<input type="checkbox"/> N <input type="checkbox"/> C	MNAR-COS	<i>JM</i>	20/11/22
			<input type="checkbox"/> N <input type="checkbox"/> C	MNAG-AAG	<i>DF</i>	22 Nov 16
			<input type="checkbox"/> N <input type="checkbox"/> C	MNAG-TAG	<i>22 NOV 2016</i>	
			<input type="checkbox"/> N <input type="checkbox"/> C	FILE		
			<input type="checkbox"/> N <input type="checkbox"/> C			DISPATCH

**ACTION OFFICER** (Name, grade, phone and signature)

Lawrence J. Ashley, COL, USA, USPFO for New York, x47711

**COOPERATIVE AGREEMENT MODIFICATION**

Agreement/Appendix Title and Number: **W912PQ-14-2-1005**

Project Name/Description and Location: **ARNG C4IM**

Modification Number: **P0005 CLOSE**

**ISSUED BY: NATIONAL GUARD BUREAU**

**ISSUED TO: THE STATE OF NEW YORK**

This modification is issued pursuant to the Authorities, 31 U.S.C. Chapter 63; MCA and Appendices Article VII, Sect 703; MCCA Article XII, Sect 1201 of the basic cooperative agreements. *Mark purpose and obtain signatures as appropriate IAW NGR 5-1, Chapter 3.*

- a. FUNDING
- b. TERMS AND CONDITIONS
- c. TERMINATION
- d. OTHER ADMINISTRATIVE: MOVE ALLOTMENT FROM FMZ TO IKA

Action	Funding Source		From	Change	To
FED	Allotment (MFL)	IKA	\$ 1,109,807.73	(3,091.09)	\$1,106,716.64
	Allotment (MFL)	90% (MCA)	\$ 1,673,351.29	(399,529.32)	\$ 1,273,821.97
	CPP		\$ 2,829.87	(822.14)	\$ 2,007.73
	<b>TOTAL FEDERAL MFL-QOIM (IKA and MCA)</b>		<b>\$ 2,785,988.89</b>	<b>(403,442.55)</b>	<b>\$ 2,382,546.34</b>
	Allotment (MFL)	R&R Phones 100%	\$ 18,000.00	0.00	\$ 18,000.00
	<b>FEDERAL PROGRAM TOTAL MFL</b>		<b>\$ 2,803,988.89</b>	<b>(403,442.55)</b>	<b>\$ 2,400,546.34</b>
FED	AFP	IKA	\$ 1,109,807.73	(3,091.09)	\$ 1,106,716.64
	AFP	90% (MCA)	\$ 1,673,351.29	(399,529.32)	\$ 1,273,821.97
	CPP		\$ 2,829.87	(822.14)	\$ 2,007.73
	<b>TOTAL FEDERAL AFP-QOIM (IKA and MCA)</b>		<b>\$ 2,785,988.89</b>	<b>(403,442.55)</b>	<b>\$ 2,382,546.34</b>
	AFP	R&R Phones 100%	\$ 18,000.00	0.00	\$ 18,000.00
	<b>FEDERAL PROGRAM TOTAL AFP</b>		<b>\$ 2,803,988.89</b>	<b>(403,442.55)</b>	<b>\$ 2,400,546.34</b>
STATE	Allotment (MFL)	10% IKA	\$ 123,311.92	(12,382.16)	\$ 110,929.76
		10% MCA	\$185,927.92	(32,289.65)	\$ 153,638.27
	<b>STATE PROGRAM TOTAL MFL</b>		<b>\$ 309,239.84</b>	<b>(44,671.81)</b>	<b>\$ 264,568.03</b>
STATE	AFP	10% IKA	\$123,311.92	(12,382.16)	\$110,929.76
		10% MCA	\$185,927.92	(32,289.65)	\$153,638.27
	<b>STATE PROGRAM TOTAL AFP</b>		<b>\$ 309,239.84</b>	<b>(44,671.81)</b>	<b>\$ 264,568.03</b>
IKA	<b>PROGRAM TOTAL IKA</b>		<b>\$ 1,233,119.65</b>	<b>(15,473.25)</b>	<b>\$ 1,217,646.40</b>
	<b>PROGRAM GRAND TOTAL MFL</b>		<b>\$ 3,113,228.73</b>	<b>(448,114.36)</b>	<b>\$ 2,665,114.37</b>
	<b>PROGRAM GRAND TOTAL AFP</b>		<b>\$ 3,113,228.73</b>	<b>(448,114.36)</b>	<b>\$ 2,665,114.37</b>


**DESCRIPTION OF MODIFICATION:**

- JUSTIFICATION: CLOSE FY14 Appendix 1005 Telecommunications
- SUMMARY: A total of \$2,803,988.89 (including CPP and R&R) was allotted for Appendix 1005 for FY14. A total of \$1,109,807.73 was identified as In-Kind Assistance. A total of \$2,400,546.34 was executed, of which \$1,106,716.64 was utilized as IKA. FY 14 Total Federal Program was \$2,400,546.34, de-obligating \$403,442.55, thereby officially CLOSING APP 1005 for FY14.
- Replace page 5 of Appendix 1005, FY 14 with updated attachment.
- See attached MNCL memo.
- ACTION: TAG signature and review, MOD 5. Return signed modification to MNPF-EA, Room 207, Cooperative Agreements, x4775/4709.

**EXECUTION**

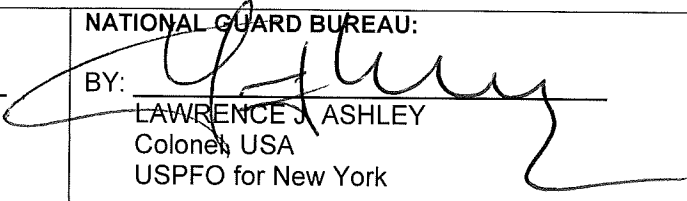
Except as provided herein, all terms and conditions of the Cooperative Agreement and/or Appendix remain unchanged in full force and effect. IN WITNESS WHEREOF, the parties by their authorized representatives execute this Cooperative Agreement Modification.

**THE STATE OF NEW YORK:**

BY:   
**ANTHONY P. GERMAN**  
 Major General, NYANG  
 The Adjutant General

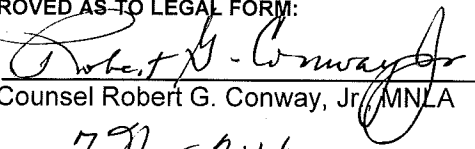
Date: 22 NOV 2016

**NATIONAL GUARD BUREAU:**

BY:   
**LAWRENCE J. ASHLEY**  
 Colonel, USA  
 USPFO for New York

Date: 23 NOV 16

**APPROVED AS TO LEGAL FORM:**

BY:   
**Counsel Robert G. Conway, Jr., MNLA**

Date: 7 Nov 2016

**APPROVED AS TO LEGAL FORM:**

BY:   
**Counsel Sean D. O'Brien, LTC, JA, NYARNG**

Date: 5 Nov 2016

**Section 509. Funding Limitation.**

a. Approved Budget/Annual Funding Program (AFP): The total dollar amount that NGB anticipates, subject to the availability of funds, being available for reimbursement to the State for its costs in fulfilling its responsibilities under this Appendix. This amount may be increased or decreased by NGB during the fiscal year.

b. Total Dollars Obligated: The total amount of funds obligated for NGB's share under this Appendix. Only funds obligated through an executed CA modification to this appendix are available for reimbursement to the state. Funds shall be obligated as received by the CA PM.

c. Accomplished as In-Kind Assistance: The total dollars expended through Federal acquisition. This dollar amount may reflect anticipated In-Kind Assistance and be updated as IKA is approved, but as a minimum shall reflect the total dollars accomplished through In-Kind Assistance in support of this Appendix for each fiscal year as it occurs.

d. In accordance with Section 507(b) Cost Sharing, the Federal and Grantee shares are indicated for each fiscal year as it occurs:

(1) Fiscal Year 2010:	Approved Budget/(AFP)	Total Dollars Obligated
Federal Share (100%)	\$ 393,378.91	\$ 393,378.91
Federal Share ( 90%)	\$ 2,142,880.11	\$ 2,142,880.11
Federal Share (RR)(100%)	\$ 6,872.77	\$ 6,872.77
 Federal Total	 \$ 2,543,131.79	 \$ 2,543,131.79
Accomplished as In-Kind Assistance	\$393,378.91	
Grantee Share ( 10%)	\$ 238,097.41	\$ 238,097.41
Grantee Total	\$ 238,097.41	\$ 238,097.41
 Grand Total	 \$ 2,781,229.20	 \$ 2,781,229.20

(2) Fiscal Year 2011:	Approved Budget/(AFP)	Total Dollars Obligated
Federal Share ( 100%)	\$ 305,803.76	\$ 305,803.76
Federal Share ( 90%)	\$ 2,281,812.58	\$ 2,281,812.58
Federal Share(RR)(100%)	\$ 16,002.73	\$ 16,002.73
 Federal Total	 \$ 2,603,619.07	 \$ 2,603,619.07
Accomplished as In-Kind Assistance	\$305,803.76	
Grantee Share ( 10%)	\$ 236,565.00	\$ 236,565.00
Grantee Share ( %)	\$ _____	\$ _____
 Grantee Total	 \$ 236,565.00	 \$ 236,565.00
 Grand Total	 \$ 2,840,184.07	 \$ 2,840,184.07

(3) Fiscal Year 2012 :	Approved Budget/(AFP)	Total Dollars Obligated
Federal Share ( 100 %)	\$ 816,562.32	\$ 816,562.32
Federal Share ( 90%)	\$ 1,317,536.97	\$ 1,317,536.97
Federal Share (RR)(100%)	\$ 14,992.78	\$ 14,992.78
<u>Federal Total</u>	<u>\$ 2,149,092.07</u>	<u>\$ 2,149,092.07</u>

Accomplished as In-Kind Assistance \$ 816,562.97

Grantee Share (IKA 10%)	\$ 81,656.23	\$ 81,656.23
Grantee Share ( 10 %)	\$ 152,475.76	\$ 152,475.76
Grantee Total	\$ 234,131.99	\$ 234,131.99
 Grand Total	 \$ 2,383,224.06	 \$ 2,383,224.06

(4) Fiscal Year 2013:	Approved Budget/(AFP)	Total Dollars Obligated
Federal Share (IKA)	\$ 836,050.00	\$ 836,050.00
Federal Share (90%)	\$ 1,589,360.89	1,589,360.89
Federal Share RR(100%)	\$ 18,000.00	\$ 18,000.00
Federal Total	\$ 2,443,410.89	\$ 2,443,410.89
Accomplished as In-Kind Assistance	\$836,050.00	
Grantee Share (10%)	\$ 260,200.65	\$ 260,200.65
Grantee Total	\$ 260,200.65	\$ 260,200.65
<b>Program Total</b>	<b>\$ 2,703,611.54</b>	<b>\$ 2,703,611.54</b>

(5) Fiscal Year 2014:	Approved Budget/(AFP)	Total Dollars Obligated
Federal Share (IKA)	\$ 1,106,716.64	\$ 1,106,716.64
Federal Share (90%)	\$ 1,273,821.97	\$ 1,273,821.97
Federal Share (CPP)	\$ 2,007.73	\$ 2,007.73
Federal Share RR(100%)	\$ 18,000.00	\$ 18,000.00
Federal Total	\$ 2,400,546.34	\$ 2,400,546.34
Accomplished as In-Kind Assistance	\$1,106,716.64	
Grantee Share (10%)	\$ 264,568.03	\$264,568.03
Grantee Total	\$ 264,568.03	\$264,568.03
Program Total	\$2,665,114.37	\$2,665,114.37

**Section 510. Agreement Particulars.**

The information below shall be recorded by the Grants Officer's Representative (GOR) for compliance with the reporting requirements of the DoD Assistance Award Action Report System (DAADS) and the Federal Funding Accountability and Transparency Act of 2006.

- a. Grantee/Recipient Category: Government
- b. Grantee/Recipient Type: State Government
- c. Grantee/Recipient DUNS: 044776024
- d. Primary Place of Performance (if different from 'Issued To' on CA Modification Form): New York, 12110-3514

(To include Zip + 4)

MNAS

MEMORANDUM THRU MNAG-TAG

FOR MNPF, ATTN: COL Lawrence J. Ashley, USPFO, USA

SUBJECT: Modification No. 5 to FY-14 MCA Appendix 1005, C4IM Services

1. Close out MOD setting Federal and State amounts to actual cost paid during FY 14 and adjusting IKA Splits to proper %

<b>Projected Expenditure for C4IM Appendix 5</b>		<b>\$2,665,114.37</b>
<b>FUNDING</b>	<b>FEDERAL</b>	
	<b>MCA AFP</b>	<b>ACTUAL</b>
Federal Share - IKA	\$ 1,109,807.73	\$ 1,106,716.64
Federal Share State Contracts -	\$ 1,673,351.29	\$ 1,273,821.97
Federal CCP	\$ 2,829.87	\$ 2,007.73
<b>FEDERAL TOTAL QOIM</b>	<b>\$ 2,785,988.89</b>	<b>\$ 2,382,546.34</b>
Federal Share - Recruiting and Retention	\$ 18,000.00	\$ 18,000.00
<b>GRAND TOTAL - FEDERAL</b>	<b>\$ 2,803,988.89</b>	<b>\$ 2,400,546.34</b>
<b>FUNDING</b>	<b>STATE</b>	
State Share - IKA 10%	\$ 123,311.92	\$ 110,929.76
State Share - State Contracts 10%	\$ 185,927.92	\$ 153,638.27
<b>STATE TOTAL</b>	<b>\$ 309,239.84</b>	<b>\$ 264,568.03</b>
<b>Total MCA App 5 Requirements</b>	<b>\$ 3,113,228.73</b>	<b>\$ 2,665,114.37</b>

2. Deobligating \$403,442.55
3. See Attachment A for In-Kind Assistance (IKA) breakdown.
5. Questions concerning this agreement should be directed to SFC Wesley Scott x4638.

FOR THE ADJUTANT GENERAL:

  
Dennis D. Kavanagh  
Policy and Plans Manager

**DISTRIBUTION:**

MNPF-USP&FO-NY, Management and Program Analyst (Cooperative Agreements) MNBF

Request for In-Kind Assistance, Modification 2, from MNAS FY 14, Appendix 1005, C4IM Telecommunications.

ITEM	PRODUCT OR SERVICE	DESCRIPTION OF PRODUCT OR SERVICE	REASON FOR FEDERAL PROCUREMENT	STATUS OF IKA	ESTIMATED ANNUAL COST	STATE 10%	Fed 90%	Fed Spent IKA
1	Credit Card MODs	Provides ad hoc network repair supplies	State Credit card does not allow sufficient funding amounts \$30,000 to cover through 2nd QTR	Proposed	\$33,000.00	\$3,300.00	29,408.91 <del>\$32,700.00</del>	29,408.91 <del>\$32,700.00</del>
2	Avaya Maintenance (Rochester 1 Jan 14 - 30 Jun 14)	Monitoring, Repair and Tech Support	Cost savings to the Federal Govt.	Proposed	\$344,906.82	\$34,490.68	\$310,416.14	\$344,906.82
2A	Avaya Maintenance (Rochester 1 Jul 14 - 31 Dec 14)	Monitoring, Repair and Tech Support	Cost savings to the Federal Govt.	Proposed	\$344,906.82	\$34,490.68	\$310,416.14	\$344,906.82
2B	Avaya Maintenance (Rochester 1 Oct 13 - 31 Dec 13)	Monitoring, Repair and Tech Support	Cost savings to the Federal Govt.	Proposed	\$172,453.41	\$17,245.34	\$155,208.07	\$172,453.41
3	Avaya Maintenance (Latham)	Monitoring, Repair and Tech Support	Cost savings to the Federal Govt.	Proposed	\$58,639.68	\$5,863.97	\$52,775.71	\$58,639.68
4	Avaya Contract Farmingdale	Monitoring, Repair and Tech Support.	Cost savings to the Federal Govt.	Proposed		\$0.00	\$0.00	
5	Veramak ECAS maintenance	Provide software maintenance for our chargeback solution	Established Federal Contract	Proposed	\$1,901.00	\$190.10	\$1,710.90	\$1,901.00
6	Vioce mail Maintenance Mail	Provide software maintenance for Voice Mail	State cannot provide the service	Proposed	\$154,000.00	\$15,400.00	\$138,600.00	154,500.00 <del>\$154,000.00</del>
Totals					\$1,109,807.73	\$110,980.77	\$998,826.96	\$1,109,807.73

↑  
 110,929.76 + 998,826.96  
 \$1,106,746.64  
 RMD

