

**COOPERATIVE AGREEMENT MODIFICATION**

Agreement/Appendix Title and Number: W912PQ-17-2-1022

Project Name/Description and Location: ANG Environmental Program Management

Modification Number: P0006

ISSUED BY: NATIONAL GUARD BUREAU

ISSUED TO: THE STATE OF NEW YORK

This modification is issued pursuant to the Authorities, 31 U.S.C. Chapter 63; MCA and Appendices Article VII, Sect 703; MCCA Article XII, Sect 1201 of the basic cooperative agreements. Mark purpose and obtain signatures as appropriate IAW NGR 5-1, Chapter 3.

- a. FUNDING  
 b. TERMS AND CONDITIONS  
 c. TERMINATION  
 d. OTHER ADMINISTRATIVE

Action	Funding Source	From	Change	To
FED	Allotment - 75%	\$ 307,387.00	\$ 1,200.00	\$ 308,587.00
	CPP 100%	\$ 539.00	\$ -	\$ 539.00
	TOTAL FEDERAL ALLOTMENT	\$ 307,926.00	\$ 1,200.00	\$ 309,126.00
FED	AFP - 75%	\$ 314,569.00	\$ (5,982.00)	\$ 308,587.00
	CPP 100%	\$ 539.00	\$ -	\$ 539.00
	TOTAL FEDERAL AFP	\$ 315,108.00	\$ (5,982.00)	\$ 309,126.00
STATE	Allotment - 25% State	\$ 102,462.33	\$ -	\$ 102,462.33
STATE	AFP - 25% State	\$ 102,462.33	\$ -	\$ 102,462.33

**DESCRIPTION OF MODIFICATION:**

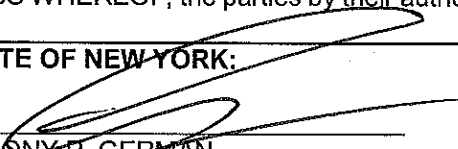
1. JUSTIFICATION: Upward adjustment for 106<sup>th</sup>. (See change column)
2. ACTION: TAG review and signature. Return to CW3 Davin x4709.
3. This Appendix is subject to the availability of funds.
4. Replace page 5 of Appendix 1022, FY 17 with updated attachment.
5. As FY2017 budgets are approved by NGB, program managers will add funding to the Appendix by separate modification.

**EXECUTION**

Except as provided herein, all terms and conditions of the Cooperative Agreement and/or Appendix remain unchanged in full force and effect.


IN WITNESS WHEREOF, the parties by their authorized representatives execute this Cooperative Agreement Modification.

**THE STATE OF NEW YORK:**

BY:   
 ANTHONY P. GERMAN  
 Major General, NYANG  
 The Adjutant General

Date: 9/19/18

**NATIONAL GUARD BUREAU:**

BY:   
 STEVEN M. ROWE  
 COLONEL, USA  
 USPFO for New York

Date: 19 Sep 2018

**APPROVED AS TO LEGAL FORM:**

BY:   
 Counsel Robert G. Conway, Jr., MNJA

Date: SEP 18 2018

**APPROVED AS TO LEGAL FORM:**

BY:   
 Counsel Sean D. O'Brien, LTC, JA, NYARNG

Date: 18 Sep 2018

c. Accomplished as In-Kind Assistance: The total dollars expended through Federal acquisition. This dollar amount may reflect anticipated In-Kind Assistance and be updated as IKA is approved, but as a minimum shall reflect the total dollars accomplished through In-Kind Assistance in support of this Appendix for each fiscal year as it occurs.

d. The following funding limitations are provided for each fiscal year as it occurs:

1. Fiscal Year <b>2016</b> :	Approved Budget/(AFP)	Total Dollars Obligated
Federal Share 100% (CPP)	\$ 900.00	\$ 900.00
Federal Share 75%	<u>\$ 316,000.00</u>	<u>\$ 316,000.00</u>
Federal Total	\$ 316,900.00	\$ 316,900.00

Accomplished as In-Kind Assistance \$ \_\_\_\_\_

State Cost Share 25%	\$ 80,000.00	\$ 80,000.00
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2. Fiscal Year <b>2017</b> :	Approved Budget/(AFP)	Total Dollars Obligated
Federal Share 100% (CPP)	\$ 539.00	\$ 539.00
Federal Share 75%	<u>\$ 308,587.00</u>	<u>\$ 308,587.00</u>
Federal Total	\$ 309,126.00	\$ 309,126.00

Accomplished as In-Kind Assistance \$ \_\_\_\_\_

State Cost Share 25%	\$ 104,856.33	\$ 102,462.33
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3. Fiscal Year _____:	Approved Budget/(AFP)	Total Dollars Obligated
	\$ _____	\$ _____
Accomplished as In-Kind Assistance \$ _____		

4. Fiscal Year _____:	Approved Budget/(AFP)	Total Dollars Obligated
	\$ _____	\$ _____
Accomplished as In-Kind Assistance \$ _____		

5. Fiscal Year _____:	Approved Budget/(AFP)	Total Dollars Obligated
	\$ _____	\$ _____
Accomplished as In-Kind Assistance \$ _____		

**MODIFICATION REQUIREMENTS FOR  
 AIR GUARD FY-17  
 AIR BASE ENVIRONMENTAL PROGRAM MANAGEMENT  
 CONTRACT NUMBER W912PQ-17-2-1022  
 MORD # F9E7CE6300MW01-03**

LOCATION	FUNDING CATEGORIES	MOD 2 CRA		AS OF:	9/12/2018
		VALIDATED FUNDING (AFP)	CURRENT ALLOCATION (ALLOTMENT)	CHANGE +/-	REVISED ALLOCATION (ALLOTMENT)
GABRESKI FEDERAL	<b>CAPPED SALARY</b>				
	VALIDATED - SALARY 75%	\$ 45,733.00	45,008.31	1,200.00	46,208.31
	FRINGE BENEFIT 75%	\$ 24,694.00	25,584.69	0.00	25,584.69
	OTHER THAN PS 75%	\$ 2,550.00	<b>2,550.00</b>		2,550.00
	CPP	\$ 157.00	157.00		157.00
	<b>TOTAL FEDERAL</b>	<b>\$ 73,134.00</b>	<b>\$ 73,300.00</b>	<b>1,200.00</b>	<b>\$ 74,500.00</b>
	<b>IKA</b>			<b>0.00</b>	
STATE	VALIDATED - SALARY 25%	\$15,244.33	\$15,002.77	400.00	\$15,402.77
	FRINGE BENEFIT 25%	\$8,231.33	\$8,528.23	0.00	\$8,528.23
	OTHER THAN PS 25%	\$850.00	\$850.00	0.00	\$850.00
	<b>TOTAL STATE</b>	<b>\$24,325.67</b>	<b>\$24,381.00</b>	<b>400.00</b>	<b>\$24,781.00</b>

JUSTIFICATION: funding Received OARS process FY 17 Document # 126

I certify that the adjustments shown above are true and correct. Any and all changes are made in accordance with approved Federal Funding documents (PLEASE ATTACH FUNDING DOCUMENTS).

JOHNSON.BRIAN.P  
 ATRICK.1024605147
 
 Digitally signed by  
 JOHNSON.BRIAN.PATRICK.10246  
 05147  
 Date: 2018.09.12 09:52:45 -04'00'

Maj Brian P Johnson, Comptroller.

DATE: 5/2/2018

**Air National Guard Readiness Center  
BUDGET AUTHORIZATION/ALLOCATION OR OPERATING BUDGET AUTHORITY**

**ISSUED BY:**  
MAJOR COMMAND IDENTIFIER: 41  
Air National Guard Readiness Center  
3501 FETCHET AVE

JB ANDREWS, MD 30762  
BA Approving Official:  
Electronically Authenticated **AFM**  
John L Quinlan, 2LT, Air Force

**ISSUED TO:**  
ALLOTMENT RECIPIENT IDENTIFIER: 41G3  
106th Rescue Wing  
USPFO for New York  
330 Old Niskayuna Road  
Latham, NY 12110-2224

**DOCUMENT NUMBER:** 126  
**DATE ISSUED:** MAY 1, 2018  
**ACCOUNTING INSTALLATION:** 387700  
**REGISTER NUMBER:** 1157554  
**Doc ID #** 01157554126D

  
Steven M. Rowe, COL, USA, USP&FO-NV

**SYMBOL:** 5773840 (58)      **Operation and Maintenance, Air National Guard (3840)**      **FY:** 2017

**SECTION A - PROGRAM**      **FUND CONTROL LEVEL - Legal subdivision of funds is at the BA**

BUDGET ACTIVITY / PROGRAM LINE ITEM	PREVIOUS AMOUNT	NET CHANGE	REVISED AMOUNT
<b>DIRECT FUNDING</b>			
BUDGET PROGRAM 01: Operating Forces	23,636,500.00	1,200.00	23,637,700.00
BUDGET PROGRAM 04: Administration and Servicewide Activities	49,700.00		49,700.00
<b>TOTAL DIRECT</b>	<b>23,686,200.00</b>	<b>1,200.00</b>	<b>23,687,400.00</b>
<b>TOTAL APPROPRIATION</b>	<b>23,686,200.00</b>	<b>1,200.00</b>	<b>23,687,400.00</b>

**SECTION B - AUTHORITY**      **ALLOTMENT**

	QUARTER 1	QUARTER 2	QUARTER 3	QUARTER 4
<b>DIRECT</b>				
Previous Amount				23,686,200.00
Net Change				1,200.00
Revised Amount				23,687,400.00
Cumulative				23,687,400.00

**SECTION C - SUBSIDIARY LIMITATIONS**      **No Data Found**

**SECTION D - REMARKS (STANDARD, OTHER)**

**STANDARD REMARKS**

THE OBLIGATION AUTHORITIES PROVIDED IN THIS DOCUMENT ARE SUBJECT TO APPORTIONMENT BY THE OFFICE OF MANAGEMENT AND BUDGET AND TO THE PROVISIONS OF 31 U.S.C. 1517 AND DOD FINANCIAL MANAGEMENT REGULATION 7000.14-R. PROGRAM FUNDING RELEASED IN THIS AND SUBSEQUENT DOCUMENTS ARE TO BE EXECUTED ONLY IN ACCORDANCE WITH ALL APPLICABLE PROVISIONS OF THE CONSOLIDATED APPROPRIATIONS ACT OF 2017 (P.L. 115-31).

THE FOLLOWING COMMENTS ARE AN INTEGRAL PART OF THIS FUNDING DOCUMENT & REVEAL LEGAL AND STATUTORY AND/OR REGULATORY LIMITATIONS:

A. FUNDS SHALL NOT BE DISTRIBUTED, OR OBLIGATIONS AUTHORIZED OR INCURRED, IN EXCESS OF (1) THE TOTAL DIRECT AUTHORITY SHOWN OR (2) DIRECT FUNDS PLUS VALID EARNED REIMBURSEMENTS. REIMBURSABLE BUDGET AUTHORITY WILL BE AUTHORIZED AS EARNED. REIMBURSEMENT AUTHORITY IS AVAILABLE TO THE EXTENT REALIZED AS STATED FOR EACH BUDGET ACTIVITY (BA).

B. RECRUITING AND ADVERTISING FUNDING IS FLOORED. UNITS CANNOT REDUCE BAC 04 BELOW THE LEVEL RECEIVED ON THEIR FUNDING DOCUMENT. ANY TRANSFER OF FUNDS FROM BAC 01 TO BAC 04, REQUIRES PRIOR APPROVAL FROM NGB/FMA AND NGB/RSS.

C. JCS EXERCISES/DEPLOYMENT FUNDING IS FLOORED AND MUST BE IDENTIFIED BY ANG ESP CODE.

D. FUNDS ISSUED IN SUPPORT OF OSD APPROVED COUNTER NARCOTICS PLANS ARE INCLUDED IN BA 01. THESE AMOUNTS MAY ONLY BE OBLIGATED OR EXPENDED IN SUPPORT OF APPROVED PLANS. USE OF PROPER ESP CODES ARE REQUIRED. REPROGRAMMING BETWEEN COUNTER NARCOTICS ESP CODES IS AUTHORIZED.

SECTION D - REMARKS (STANDARD, OTHER)

- E. SPECIAL GUIDANCE IS PROVIDED IN THE ANG FINANCIAL GUIDANCE, DATA ELEMENT CODE LISTINGS, AND FROM ANG BUDGET ANALYSTS.
- F. FUNDING ISSUED FOR CONVERSIONS/ROBUSTING WILL BE IDENTIFIED WITH AN ISSUE EEIC OF XXX7M/7R/7S. OBLIGATIONS FOR THESE FUNDS MUST INCLUDE THE APPLICABLE CONVERSION ANG ESP CODE.
- G. FOREIGN MILITARY SLAES (FMS)--PROPER ACCOUNTING AND CODING ARE REQUIRED. ENSURE BOTH PROGRAM AND OBLIGATIONS ARE REPORTED ACCURATELY WITH ANG ESP CODES.
- H. ENVIRONMENTAL FUNDING IS FLOORED.
- I. FLYING HOUR FUNDING IS FLOORED. FLYING HOUR FUNDING CONSISTS OF AVPOL, FLY DLR, AND FLY SUPPLIES.
- J. ALL ESP CODED FUNDING IS FLOORED AND MAY NOT BE REALIGNED FOR ANY OTHER PURPOSE WITHOUT PRIOR APPROVAL FROM NGB/FMA.
- K. DSMT (CIVILIAN/DUAL STATUS MILITARY PAY) IS FLOORED AND MAY NOT BE REALIGNED FOR ANY OTHER PURPOSE WITHOUT PRIOR APPROVAL FROM NGB/FMA
- L. FUNDING DOCUMENTS SHOULD BE LOADED IN BQ BY UNITS WITHIN TWO BUSINESS DAYS OF BEING ISSUED TO UNITS BY NGB/FM, AND MUST BE LOADED IN BQ NO LATER THAN END OF MONTH. BQ TARGETS MUST MATCH THE FUNDING ISSUED ON THE FUNDING DOCUMENTS.

SECTION E - NET CHANGE TRANSACTION LIST

BudProg	BA/AG/SAG	PE	EEIC	Local Use	D/R	AMOUNT	QTY
01	011Z UOA Request: 2018-002930				D	1,200.00	0

SECTION F - BELOW LEGAL LIMIT DETAIL

Not Selected for Display



CONTINUATION PAGE

AF Form 406

MISCELLANEOUS OBLIGATION/REIMBURSEMENT

DOCUMENT NUMBER  
F9E7CE6300MW01-03 00

PAGE 2 of 2

DESCRIPTION

AMOUNT

Line Item Total:

\$ 1,200.00

Accounting Line Total:

\$ 1,200.00

**Upward Obligation Adjustment (UOA) Request Number 2018-002930**

ANG 106RQW/CES - CES

**1. UOA Request Information:**

FY 17 APX 22 MCA environmental  
 Total Adjustments: \$1,101.24  
 Funding Source Shortfall: \$0.00  
 Anti-Deficiency Act Reporting: No

Request Purpose/Justification: NYS signed the CSEA contract and paid the retro payroll increase prior to Fiscal Close. Emails and request were made prior to FY close, however funds were not provided prior to FY close.

**2. Original Obligation Information:**

Program/Project Title: FY 17 APX 22 MCA environmental  
 Contract Type: Not a Contract  
 Contract/Document Number: F9E7CE6300MW01  
 Date: 26 Feb 2018  
 Contractor/Vendor Name: New York State Department of Mil and Naval Affairs  
  
 Purpose: MCA Agreement

**3. Original Obligation Accounting Data:**

Main Account: 3840 - 1 year  
 OAC: 41  
 OBAN: g3  
 Total Amount Obligated: \$73,299.00

**4. Remarks:**

funding short fall due to CSEA contract agreement, caused retro pay requirement.	Holly Reycroft
CRA MOD 1	Holly Reycroft
initial funding	Holly Reycroft
Summary of payments	Holly Reycroft
Email on CSEA contract increases.	Holly Reycroft

**5. Routing:**

Step	Current	Tier	Step Type	Coordination Type	Organization	Received Date	Certification
1		Base	Owner		CES	27 Feb 2018	
2		Base	Review		ANG 106th Rescue Wing (ALO)	27 Feb 2018	
3		MAJCOM	Review		Air National Guard	25 Apr 2018	
4		MAJCOM	Coordination	NGB/FM Funds Control	Air National Guard	26 Apr 2018	
5	✓	MAJCOM	Approval		Air National Guard	26 Apr 2018	Proper Charge

**6. Adjustment and Funding Source Detail:**

Line Type	Adjustment Type Header	Adjustment Sub Type	Delivery Order	ACRN	CLIN	FY	SAG	PEC	Cost Center	Adjustment Amount	Source Amount
Adjustment	Ordinary	Price inflation				2017	011Z	55256F	376U18	\$1,101.24	
Funding						2017	011Z	000000	000000		\$1,101.24

Approved by:  
 ADAMS.SALINDA.CHANALE 1263394875