

**COOPERATIVE AGREEMENT MODIFICATION**

Agreement/Appendix Title and Number: W912PQ-17-2-1023

Project Name/Description and Location: ANG Security Cooperative Agreement

Modification Number: P0005

ISSUED BY: NATIONAL GUARD BUREAU

ISSUED TO: THE STATE OF NEW YORK

This modification is issued pursuant to the Authorities, 31 U.S.C. Chapter 63; MCA and Appendices Article VII, Sect 703; MCCA Article XII, Sect 1201 of the basic cooperative agreements. *Mark purpose and obtain signatures as appropriate IAW NGR 5-1, Chapter 3.*

- a. FUNDING
- b. TERMS AND CONDITIONS
- c. TERMINATION
- d. OTHER ADMINISTRATIVE

Action	Funding Source	From	Change	To
FED	Allotment	\$ 2,205,700.00	\$110,000.00	\$2,315,700.00
FED	AFP	\$2,205,700.00	\$110,000.00	\$2,315,700.00

**DESCRIPTION OF MODIFICATION:**

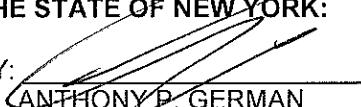
1. JUSTIFICATION: Upward obligation for Appendix 1023, ANG Security Cooperative Agreement for Fiscal Year 2017.
2. SUMMARY: Upward obligation of \$110,000 for the 106th.
3. ACTION: TAG signature and review of the ANG Security Cooperative Agreement Appendix 1023, MOD 5. Return signed modification to MNPF-EA, Room 207, Cooperative Agreements, x4775/4709.
4. This Appendix is subject to the availability of funds.
5. Replace pages 5 of Appendix 1023, FY 17 with updated attachment.
6. As FY2017 budgets are approved by NGB, program managers will add funding to the Appendix by separate modification.

**EXECUTION**

Except as provided herein, all terms and conditions of the Cooperative Agreement and/or Appendix remain unchanged in full force and effect.

IN WITNESS WHEREOF, the parties by their authorized representatives execute this Cooperative Agreement Modification.

**THE STATE OF NEW YORK:**

BY:   
 ANTHONY P. GERMAN  
 Major General, NYANG.  
 The Adjutant General

Date: 9/28/17

**NATIONAL GUARD BUREAU:**

BY:   
 LAWRENCE J. ASHLEY  
 Colonel, USA  
 USPFO for New York

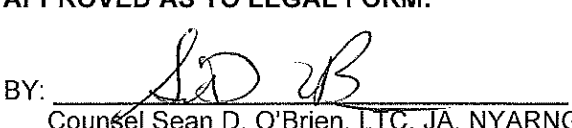
Date: 2017

**APPROVED-AS TO LEGAL FORM:**

BY:   
 Counsel Robert G. Conway, Jr., M/LA

Date: 20 Sept 2017

**APPROVED AS TO LEGAL FORM:**

BY:   
 Counsel Sean D. O'Brien, LTC, JA, NYARNG

Date: 20 Sept 2017

**Section 2309. Funding Limitations.**

a. Approved Budget/Annual Funding Program (AFP): The total dollar amount that NGB anticipates, subject to the availability of funds, being available for reimbursement to the State for its costs in fulfilling its responsibilities under this Appendix. This amount may be increased or decreased by NGB during the fiscal year.

b. Total Dollars Obligated: The total amount of funds obligated for NGB's share under this Appendix. Only funds obligated through an executed CA modification to this appendix are available for reimbursement to the State. Funds shall be obligated as received by the CA PM.

c. The following funding limitations are provided for each fiscal year as it occurs.

1. Fiscal Year <b>2016</b> :	Approved Budget/(AFP) \$2,385,000.00	Total Dollars Obligated \$2,385,000.00
2. Fiscal Year <b>2017</b> :	Approved Budget/(AFP) \$2,315,700.00	Total Dollars Obligated \$2,315,700.00
3. Fiscal Year _____:	Approved Budget/(AFP) \$ _____	Total Dollars Obligated \$ _____
4. Fiscal Year _____:	Approved Budget/(AFP) \$ _____	Total Dollars Obligated \$ _____
5. Fiscal Year _____:	Approved Budget/(AFP) \$ _____	Total Dollars Obligated \$ _____

MODIFICATION REQUIREMENTS FOR  
 AIR GUARD FY-17  
 AIR BASE SECURITY AGREEMENT  
 CONTRACT NUMBER W912PQ-17-2-1023  
 MOD 5

AS OF: 9/11/2017

		VALIDATED FUNDING (AFP)	CURRENT ALLOTMENT	CHANGE	NEW ALLOTMENT
GABRESKI	100% FEDERAL	\$ 861,000.00	\$ 751,000.00	110,000.00	\$ 861,000.00
	TOTAL FEDERAL	\$ 861,000.00	\$ 751,000.00	110,000.00	\$ 861,000.00
IKA			\$ -	0.00	
106th AFP:	\$861,000.00			0.00	
HANCOCK	100% FEDERAL	\$ 173,000.00	\$ 173,000.00	0.00	\$ 173,000.00
	TOTAL FEDERAL	\$ 173,000.00	\$ 173,000.00	0.00	\$ 173,000.00
174th AFP:	\$173,000.00			0.00	
STRATTON	100% FEDERAL	\$ 479,100.00	\$ 479,100.00	0.00	\$ 479,100.00
	TOTAL FEDERAL	\$ 479,100.00	\$ 479,100.00	0.00	\$ 479,100.00
109th AFP:	\$479,100.00			0.00	
STEWART	100% FEDERAL	\$ 802,600.00	\$ 802,600.00	0.00	\$ 802,600.00
	TOTAL FEDERAL	\$ 802,600.00	\$ 802,600.00	0.00	\$ 802,600.00
105th AFP:	\$802,600.00			0.00	
GRAND TOTAL (ALL BASES)	100% FEDERAL	\$ 2,315,700.00	\$ 2,205,700.00	110,000.00	\$ 2,315,700.00
	TOTAL FEDERAL	\$ 2,315,700.00	\$ 2,205,700.00	110,000.00	\$ 2,315,700.00
	TOTAL IKA		\$ -	0.00	\$ -
FED AFP Total:		\$2,315,700.00			

**Air National Guard Readiness Center  
BUDGET AUTHORIZATION/ALLOCATION OR OPERATING BUDGET AUTHORITY**

**ISSUED BY:**  
MAJOR COMMAND IDENTIFIER: 41  
Air National Guard Readiness Center  
3501 Fetchet Drive

JB Andrews, MD 20762  
BA Approving Official:  
Electronically Authenticated **APM**  
Matthew A Riggs, O3/Capt, USAF

**ISSUED TO:**  
ALLOTMENT RECIPIENT IDENTIFIER: 41G3  
108th Rescue Wing  
USPFO for New York  
330 Old Niskayuna Road  
Latham, NY 12110-2224

**DOCUMENT NUMBER: 102**  
DATE ISSUED: SEPTEMBER 1, 2017  
ACCOUNTING INSTALLATION: 387700  
REGISTER NUMBER: 1107732  
Doc ID # 01107732102D

  
LAWRENCE J. ASHLEY, COL, USA, USP&FO-NY

SYMBOL: 5773840 (58)	Operation and Maintenance, Air National Guard (3840)	FY: 2017	
SECTION A - PROGRAM		FUND CONTROL LEVEL - Legal subdivision of funds is at the BA	
BUDGET ACTIVITY / PROGRAM LINE ITEM	PREVIOUS AMOUNT	NET CHANGE	REVISED AMOUNT
	<b>DIRECT FUNDING</b>		
BUDGET PROGRAM 01: Operating Forces	23,342,200.00	-20,000.00	23,322,200.00
BUDGET PROGRAM 04: Administration and Servicewide Activities	57,200.00		57,200.00
<b>TOTAL DIRECT</b>	<b>23,399,400.00</b>	<b>-20,000.00</b>	<b>23,379,400.00</b>
<b>TOTAL APPROPRIATION</b>	<b>23,399,400.00</b>	<b>-20,000.00</b>	<b>23,379,400.00</b>

SECTION B - AUTHORITY	ALLOTMENT			
	QUARTER 1	QUARTER 2	QUARTER 3	QUARTER 4
<b>DIRECT</b>				
Previous Amount	8,142,600.00	4,172,800.00	3,577,200.00	7,506,800.00
Net Change	0.00	0.00	0.00	-20,000.00
Revised Amount	8,142,600.00	4,172,800.00	3,577,200.00	7,486,800.00
Cumulative	8,142,600.00	12,315,400.00	15,892,600.00	23,379,400.00

SECTION C - SUBSIDIARY LIMITATIONS      No Data Found

SECTION D - REMARKS (STANDARD, OTHER)

**STANDARD REMARKS**

THE OBLIGATION AUTHORITIES PROVIDED IN THIS DOCUMENT ARE SUBJECT TO APPORTIONMENT BY THE OFFICE OF MANAGEMENT AND BUDGET AND TO THE PROVISIONS OF 31 U.S.C. 1517 AND DOD FINANCIAL MANAGEMENT REGULATION 7000.14-R. PROGRAM FUNDING RELEASED IN THIS AND SUBSEQUENT DOCUMENTS ARE TO BE EXECUTED ONLY IN ACCORDANCE WITH ALL APPLICABLE PROVISIONS OF THE CONSOLIDATED APPROPRIATIONS ACT OF 2017 (P.L. 115-31).

THE FOLLOWING COMMENTS ARE AN INTEGRAL PART OF THIS FUNDING DOCUMENT & REVEAL LEGAL AND STATUTORY AND/OR REGULATORY LIMITATIONS:

- A. FUNDS SHALL NOT BE DISTRIBUTED, OR OBLIGATIONS AUTHORIZED OR INCURRED, IN EXCESS OF (1) THE TOTAL DIRECT AUTHORITY SHOWN OR (2) DIRECT FUNDS PLUS VALID EARNED REIMBURSEMENTS. REIMBURSABLE BUDGET AUTHORITY WILL BE AUTHORIZED AS EARNED. REIMBURSEMENT AUTHORITY IS AVAILABLE TO THE EXTENT REALIZED AS STATED FOR EACH BUDGET ACTIVITY (BA).
- B. RECRUITING AND ADVERTISING FUNDING IS FLOORED. UNITS CANNOT REDUCE BAC 04 BELOW THE LEVEL RECEIVED ON THEIR FUNDING DOCUMENT. ANY TRANSFER OF FUNDS FROM BAC 01 TO BAC 04, REQUIRES PRIOR APPROVAL FROM NGB/FMA AND NGB/RSS.
- C. JCS EXERCISES/DEPLOYMENT FUNDING IS FLOORED AND MUST BE IDENTIFIED BY ANG ESP CODE.
- D. FUNDS ISSUED IN SUPPORT OF OSD APPROVED COUNTER NARCOTICS PLANS ARE INCLUDED IN BA 01. THESE AMOUNTS MAY ONLY BE OBLIGATED OR EXPENDED IN SUPPORT OF APPROVED PLANS. USE OF PROPER ESP CODES ARE REQUIRED. REPROGRAMMING BETWEEN COUNTER NARCOTICS ESP CODES IS AUTHORIZED.

**SECTION D - REMARKS (STANDARD, OTHER)**

- E. SPECIAL GUIDANCE IS PROVIDED IN THE ANG FINANCIAL GUIDANCE, DATA ELEMENT CODE LISTINGS, AND FROM ANG BUDGET ANALYSTS.
- F. FUNDING ISSUED FOR CONVERSIONS/ROBUSTING WILL BE IDENTIFIED WITH AN ISSUE EEIC OF XXX7M/7R/7S. OBLIGATIONS FOR THESE FUNDS MUST INCLUDE THE APPLICABLE CONVERSION ANG ESP CODE.
- G. FOREIGN MILITARY SLAES (FMS)--PROPER ACCOUNTING AND CODING ARE REQUIRED. ENSURE BOTH PROGRAM AND OBLIGATIONS ARE REPORTED ACCURATELY WITH ANG ESP CODES.
- H. ENVIRONMENTAL FUNDING IS FLOORED.
- I. FLYING HOUR FUNDING IS FLOORED. FLYING HOUR FUNDING CONSISTS OF AVPOL, FLY DLR, AND FLY SUPPLIES.
- J. ALL ESP CODED FUNDING IS FLOORED AND MAY NOT BE REALIGNED FOR ANY OTHER PURPOSE WITHOUT PRIOR APPROVAL FROM NGB/FMA.
- K. DSMT (CIVILIAN/DUAL STATUS MILITARY PAY) IS FLOORED AND MAY NOT BE REALIGNED FOR ANY OTHER PURPOSE WITHOUT PRIOR APPROVAL FROM NGB/FMA
- L. FUNDING DOCUMENTS SHOULD BE LOADED IN BQ BY UNITS WITHIN TWO BUSINESS DAYS OF BEING ISSUED TO UNITS BY NGB/FM, AND MUST BE LOADED IN BQ NO LATER THAN END OF MONTH. BQ TARGETS MUST MATCH THE FUNDING ISSUED ON THE FUNDING DOCUMENTS.

**SECTION E - NET CHANGE TRANSACTION LIST**

BudProg	BA/AG/SAG	PE	EEIC	Local Use	D/R	AMOUNT	QTY
01	011F	53114F	40924	ESP Code 7U	D	15,000.00	0
01	011G ADSN conversion request submitted by unit-612-9049,Hagler,Kenneth,CIV	55165F		ESP Code NV	D	2,000.00	0
01	011G Congressional Add, Trauma Training 106th Rescue WG, NY-PJ-cadaver-327-1825,Linnane,Debra,LtCol	59220F		ESP Code TU	D	15,000.00	0
01	011Z Per unit request, funded SCA UFR.-612-7086,Pruitt,Andrew,CIV	52625F	5703S	ESP Code SR	D	110,000.00	0
01	011Z FY17 SRM Pull Back INFRA OSP JSP SRM B370 CONTRACTED, CE #WKVB142002,CIPS #WKVB-2017-00001.POC stewart.a.morrison2.mil@mail.mil-612-7540,Tarry,Matt hew,CIV	58550F	63730	ESP Code 1P	D	-162,000.00	0

**SECTION F - BELOW LEGAL LIMIT DETAIL**

Not Selected for Display

MISCELLANEOUS OBLIGATION/REIMBURSEMENT DOCUMENT

ACCOUNTING CLASSIFICATION	DATE PREPARED	DOCUMENT NUMBER
*** SEE CONTINUATION PAGE. LEGACY LOA IS PROVIDED FOR INFORMATIONAL PURPOSES ONLY. ****	11-SEP-2017	F9E3S56305MMW01-04 00000
PSR	EXCHANGE RATE	FOREIGN CURRENCY CODE
DSR		

APPROVED BY (Signature and title) // SIGNED\*// 11-SEP-2017 // DIGITALLY SIGNED\*// I certify that funds are available  
 REYCROFT, HOLLY C MSGT\_USAF  
 REQUESTOR  
 FMA, 723-7314  
 CERTIFIER  
 FMA, 456-7460

DESCRIPTION	AMOUNT
0001 FY 2017_SECURITY AGREEMENT APPENDIX 23 Couch ESP SR Delivery Info: DODF9E3S5	\$ 110,00000
SFIS: 05700038400000001717D F41G3 3743J0 254.3108 01010000011Z 999900.999961 0502625F 2017 387700 1030000000\$	110,00000
05700038400000001717D SR NA SR DEAMS000000000000000002929442 Legacy: 5773840 587 41G3 3743J0 01 5703S 52625F 387700 F87700 ESP:SR	

TRANSACTION RECORD

NAME OF CONTRACTOR/DEBTOR DOCUMENT NUMBER (Contract/PR/PC/WO etc.)

DATE	DESCRIPTION	US DOLLARS				FOREIGN CURRENCY					
		COMM	BID C	UOO/ UFO	BID O/D	AEB/ FCC	BID U/F	ABP/ COLL	BID E/R	UNPAID BALANCE	PAID

CONTINUATION PAGE

AF Form 406

MISCELLANEOUS OBLIGATION/REIMBURSEMENT

DOCUMENT NUMBER  
F9E3S56305MMW01-04 00

PAGE 2 of 2

DESCRIPTION

AMOUNT

Line Item Total:

\$ 110,000.00

Accounting Line Total:

\$ 110,000.00

**MODIFICATION REQUIREMENTS FOR**  
**AIR GUARD FY-17**  
**AIR BASE Security Forces PROGRAM MANAGEMENT**  
**CONTRACT NUMBER W912PQ-17-2-1023**  
**MORD # F9E3S5305MW01-04**

LOCATION	FUNDING CATEGORIES	MOD 1 CRA TO 4/28/2017		AS OF: 9/5/2017	
		VALIDATED FUNDING (AFP)	CURRENT ALLOCATION (ALLOTMENT)	CHANGE +/-	REVISED ALLOCATION (ALLOTMENT)
GABRESKI	CAPPED SALARY	\$ -			
FEDERAL	VALIDATED - SALARY 100%	\$ 750,820.90	751,000.00	110,000.00	861,000.00
	FRINGE BENEFIT 100%	-	-	-	-
	OTHER THAN PS 100%	-	-	-	-
	CPP	-	-	-	-
	<b>TOTAL FEDERAL</b>	<b>\$ 750,820.90</b>	<b>\$ 751,000.00</b>	<b>110,000.00</b>	<b>\$ 861,000.00</b>
	IKA				

JUSTIFICATION: CRA FUNDING SEE DOCUMENT # 102

I certify that the adjustments shown above are true and correct. Any and all changes are made in accordance with approved Federal Funding documents (PLEASE ATTACH FUNDING DOCUMENTS).

HERTH.DEVERY.AUSTIN.12959 : Digitally signed by HERTH DEVERY AUSTIN 1295926585  
 26585 : DN: c=US, o=U.S. Government, ou=DoD, ou=PKI, ou=USAF,  
 cn=HERTH DEVERY AUSTIN 1295926585  
 Date: 2017.09.15 16:26:12 -0500

Capt Devery A. Herth, Comptroller, NYANG

DATE: 9/5/2017